

# Aerosol physics

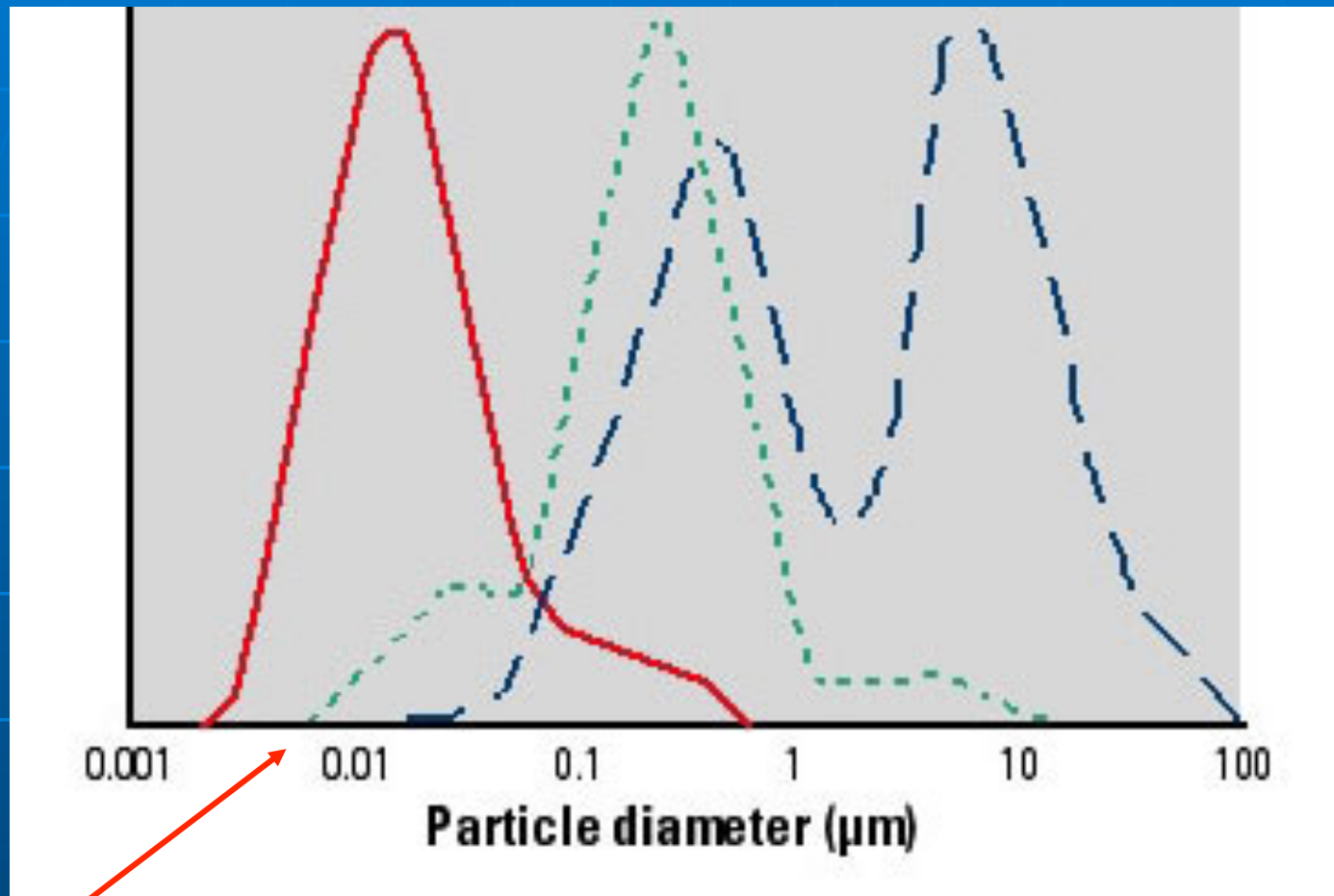
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Institute for Environment and  
Sustainability  
Ispra, Italy

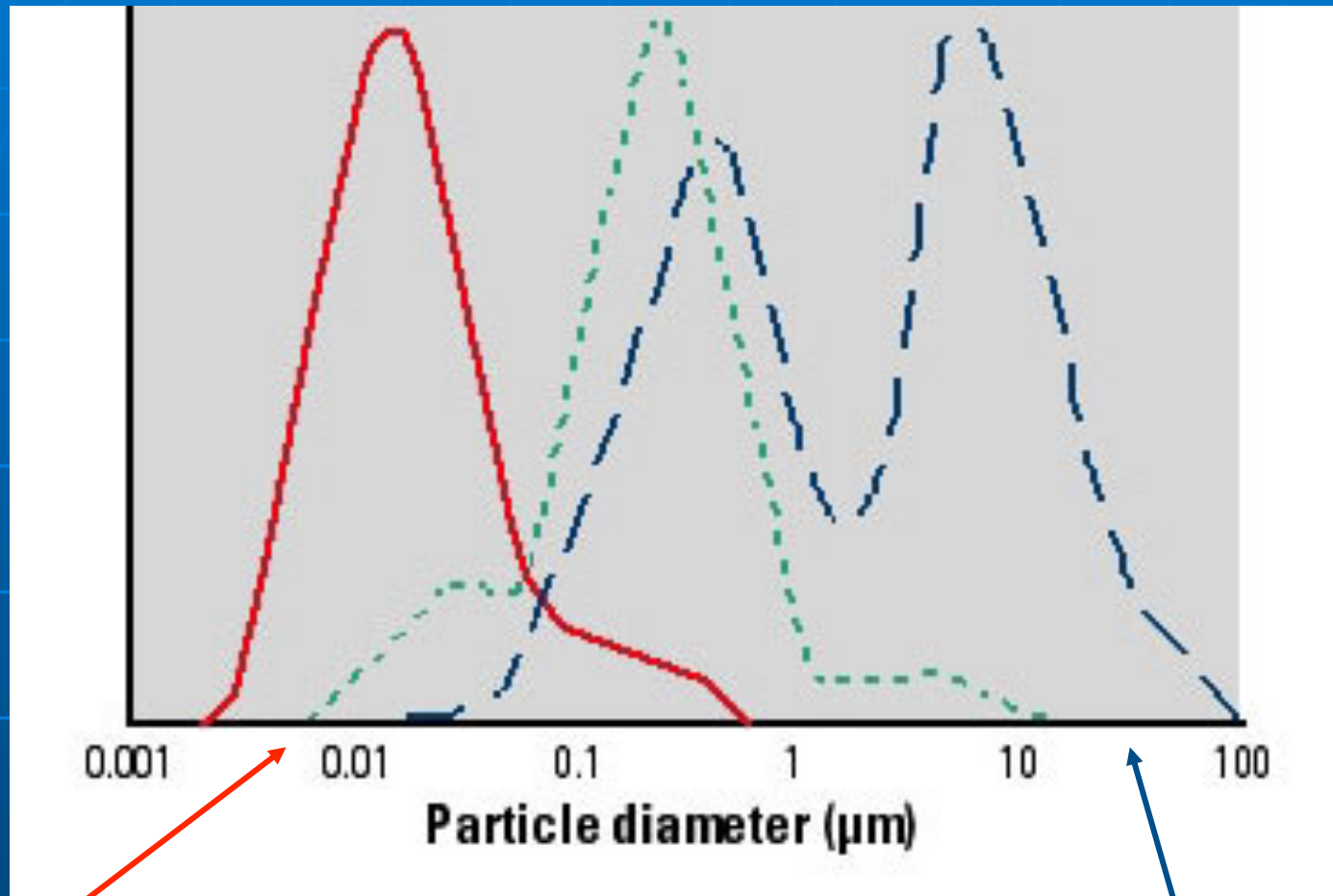
YSSS, Odessa, 3-9 July 2011

# Aerosol dynamics

- Nucleation
- Condensation/Evaporation
- Coagulation



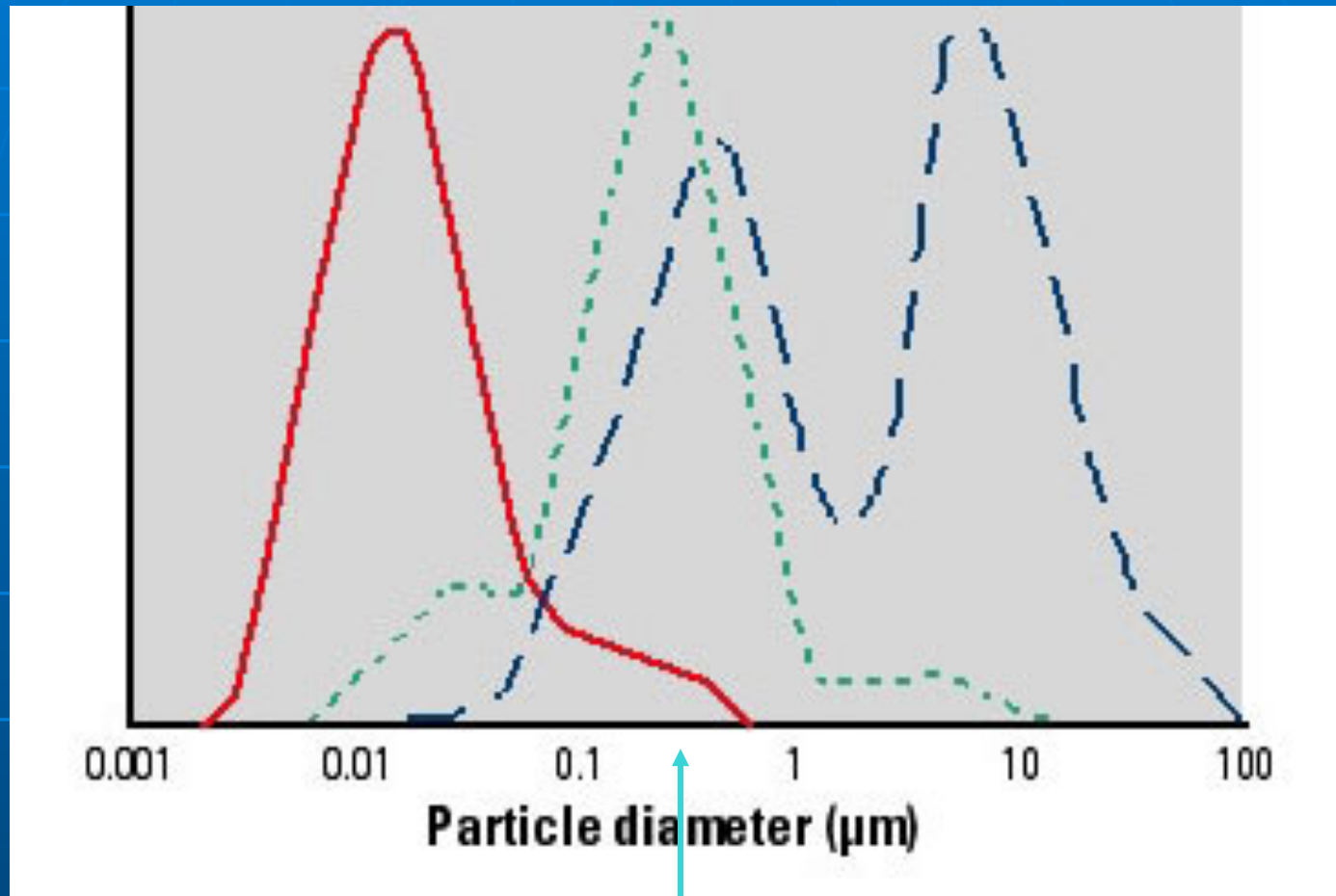
**very mobile  
rapidly evaporating**



**very mobile**  
**rapidly evaporating**

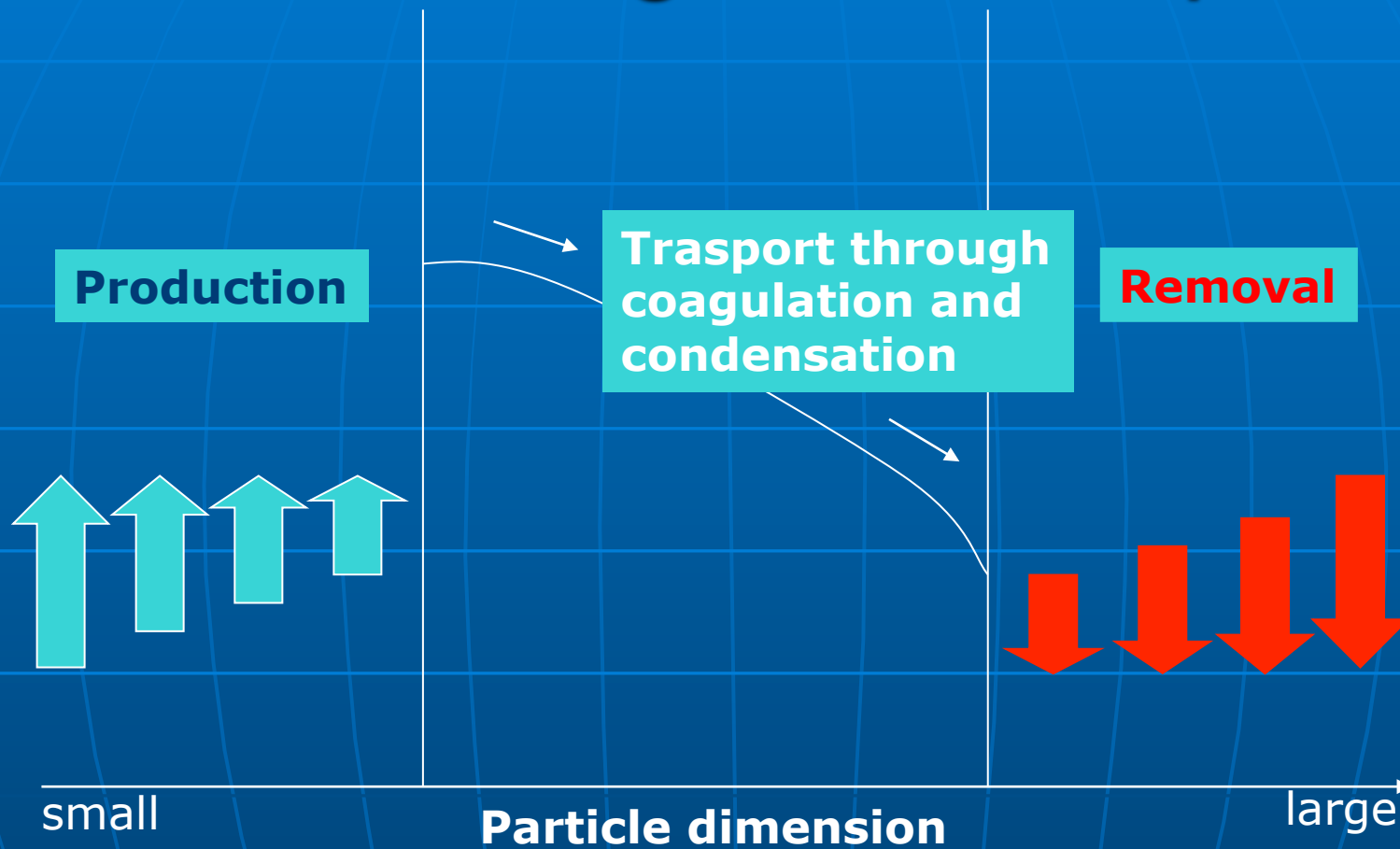
**gravitational fall is important**  
**large inertia**





**too large to be easily diffused**  
**Too small for effective inertia and gravitational fall**

# Mass flux along the size spectrum



(Twomey, 1977)



**Gas molecules**

**Particles**

**Emissions of  
precursor gases**



**Reaction in  
gaseous phase  
(SO<sub>2</sub>, OH,...)**

**Gas molecules**

**Particles**

Emissions of precursor gases



Reaction in gaseous phase (SO<sub>2</sub>, OH,...)

Nucleation



$D < 0.001$  mm

Gas molecules

Particles

Emissions of precursor gases



Reaction in gaseous phase (SO<sub>2</sub>, OH,...)



Nucleation



Coagulation

$D < 0.001$  mm



Coagulation

$0.001 < D < 0.01$  mm



$0.01 < D < 0.1$  mm

Gas molecules

Particles

Emissions of precursor gases

Reaction in gaseous phase (SO<sub>2</sub>, OH, ...)

Nucleation

Condensation

Coagulation  
 $D < 0.001$  mm

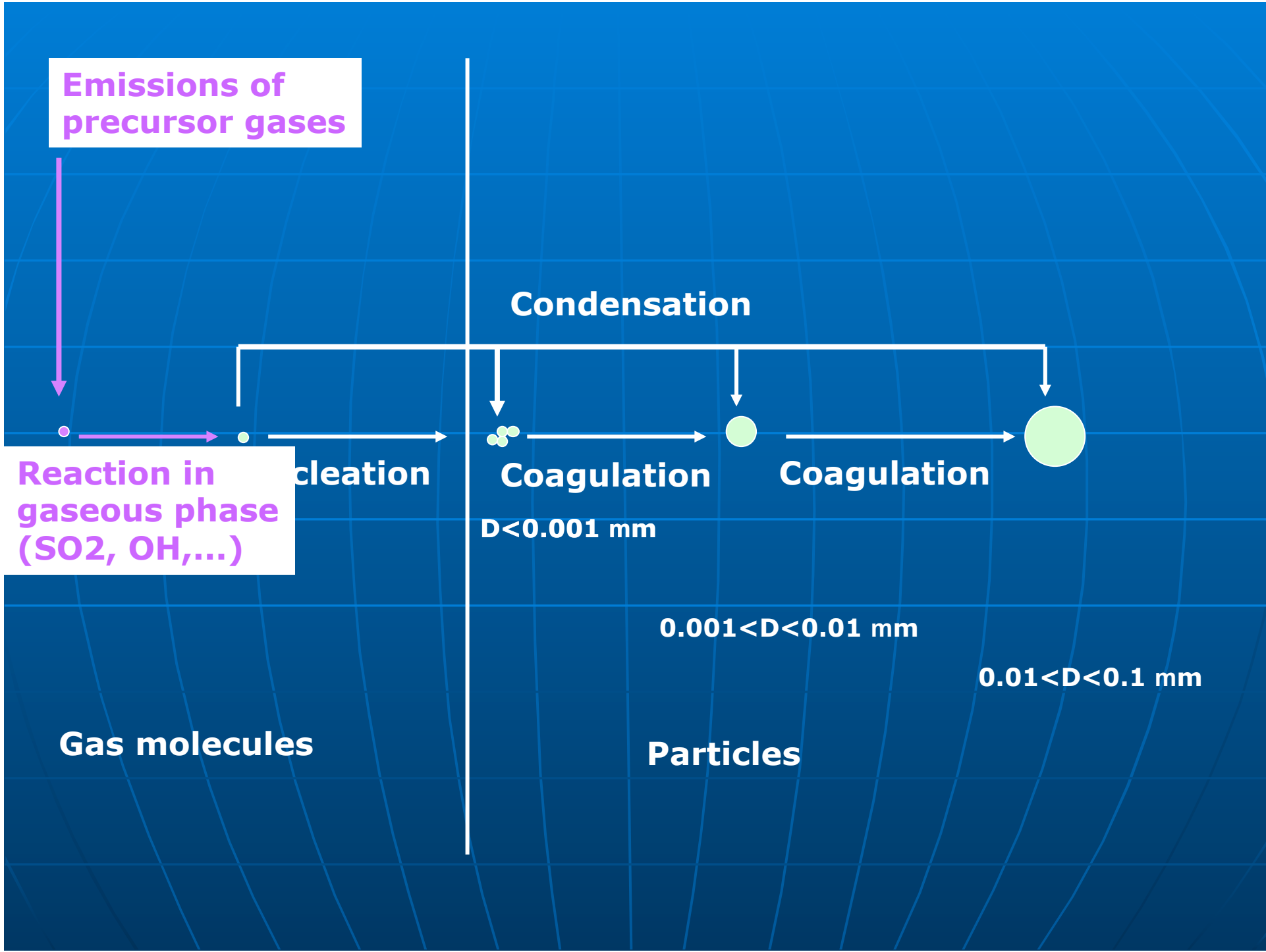
Coagulation

$0.001 < D < 0.01$  mm

$0.01 < D < 0.1$  mm

Gas molecules

Particles



# Dynamics general equation

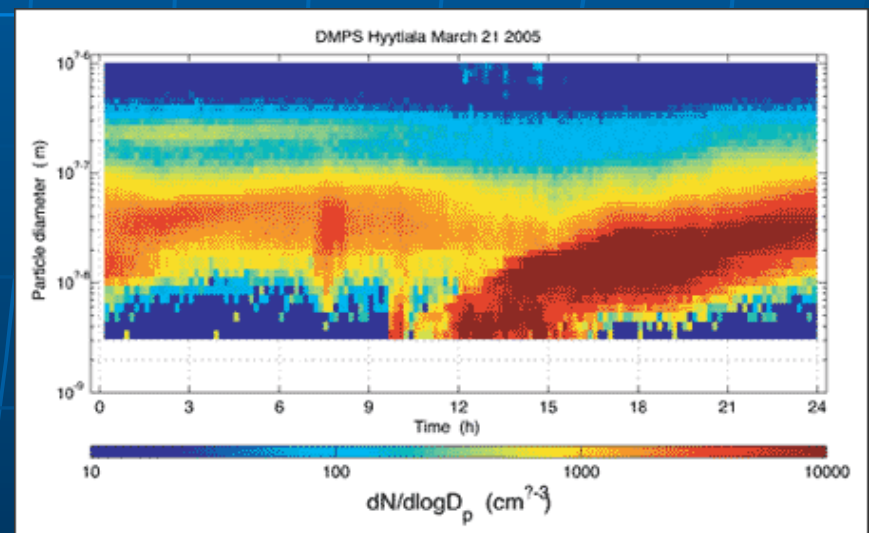
$$\frac{\partial n_i}{\partial t} = \left( \frac{\partial n_i}{\partial t} \right)_{cond / evap} + \left( \frac{\partial n_i}{\partial t} \right)_{coag} + \left( \frac{\partial n_i}{\partial t} \right)_{nucl}$$

$$\frac{\partial m_i}{\partial t} = \left( \frac{\partial m_i}{\partial t} \right)_{cond / evap} + \left( \frac{\partial m_i}{\partial t} \right)_{coag} + \left( \frac{\partial m_i}{\partial t} \right)_{nucl}$$

$n_i$  : number of particles  
 $m_i$  : mass of specie i



# Nucleation



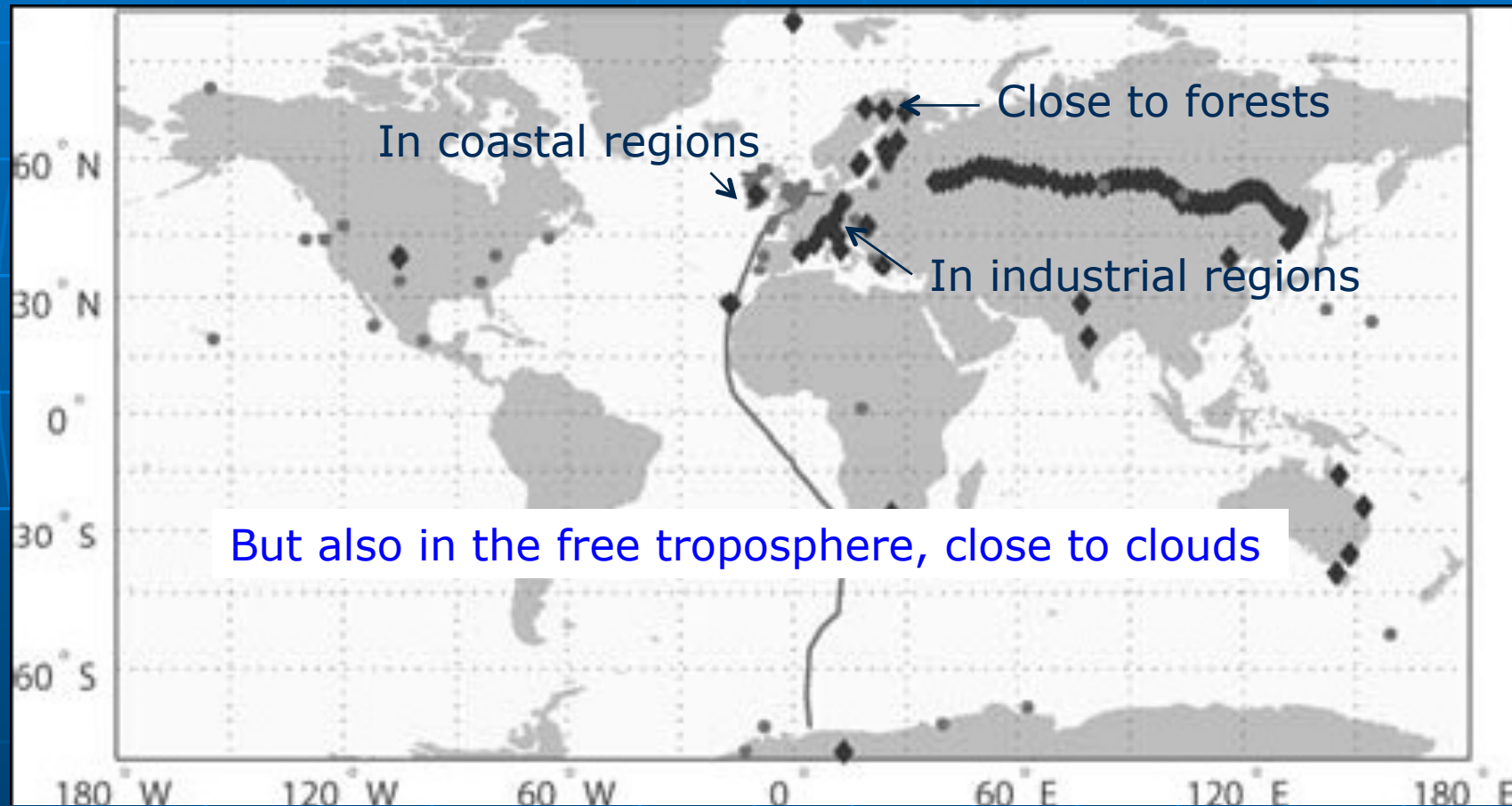
# Nucleation

- Phase transformation of substances from the gaseous to the liquid or solid phase
- In 1897 first evidences of particle formation in the atmosphere, but only around 2000 instrumentation to quantitatively measure it appeared

# Nucleation

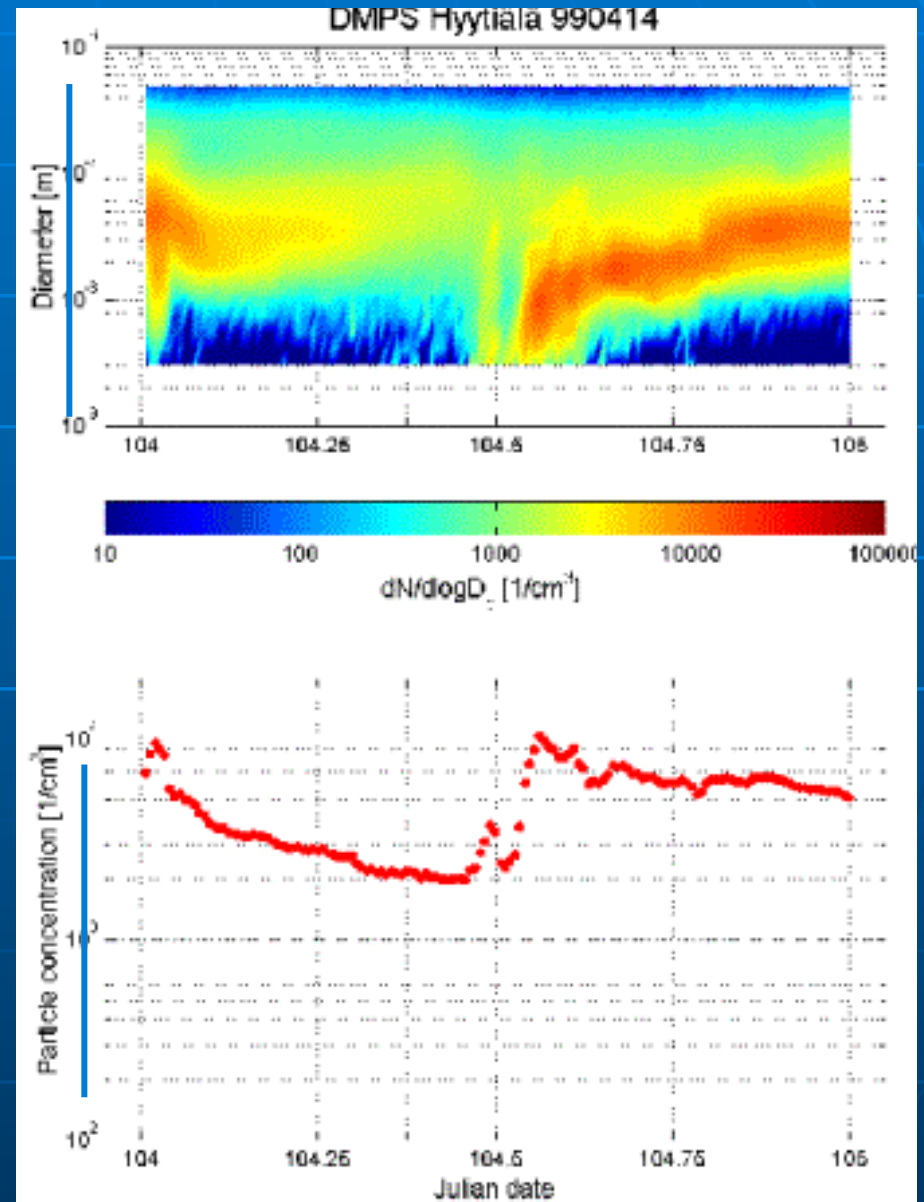
- Phase transformation of substances from the gaseous to the liquid or solid phase
- In 1897 first evidences of particle formation in the atmosphere, but only around 2000 instrumentation to quantitatively measure it appeared
- Two important phases in the new particle formation: the nucleation itself, and the growth in dimension to a size that can be observed

# Where were new particles observed?



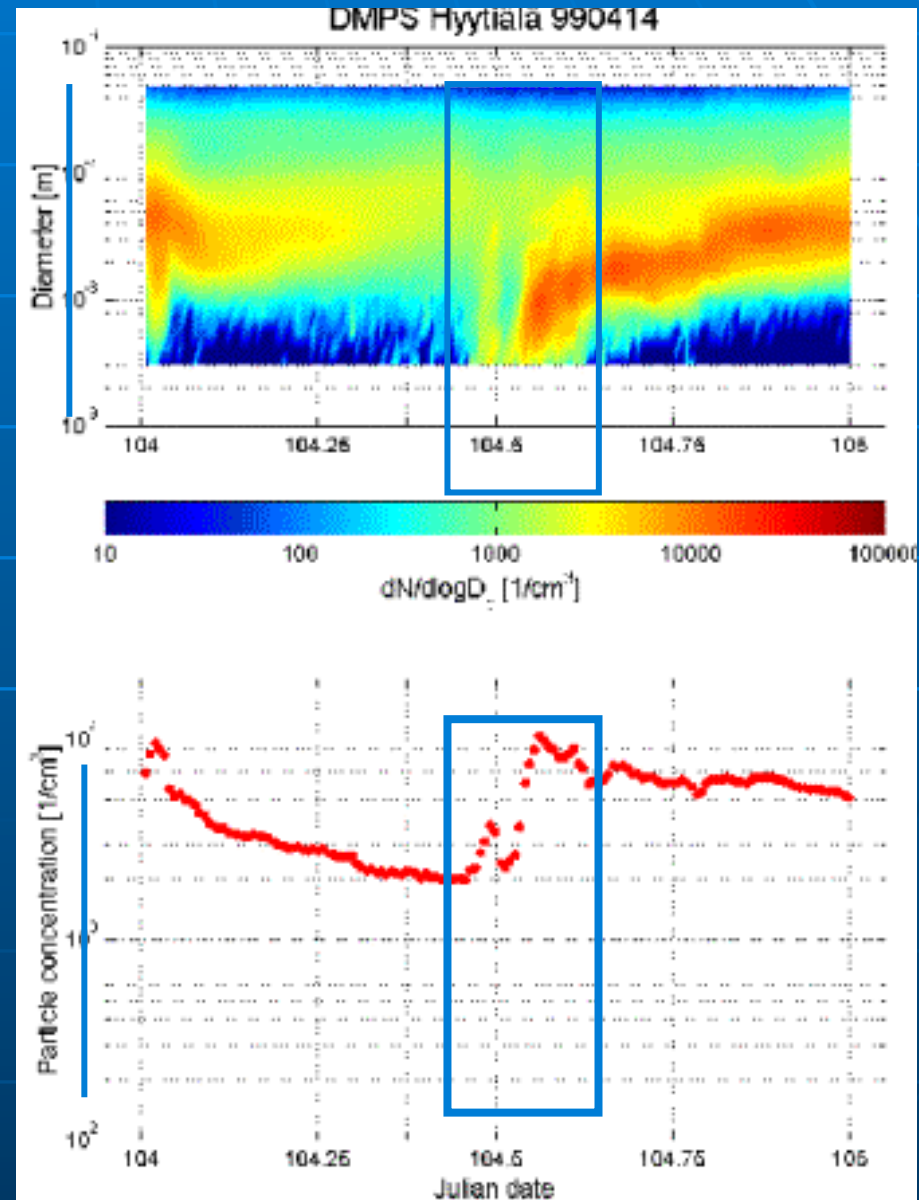
**Kulmala et al., 2008**

# Particle formation takes place:



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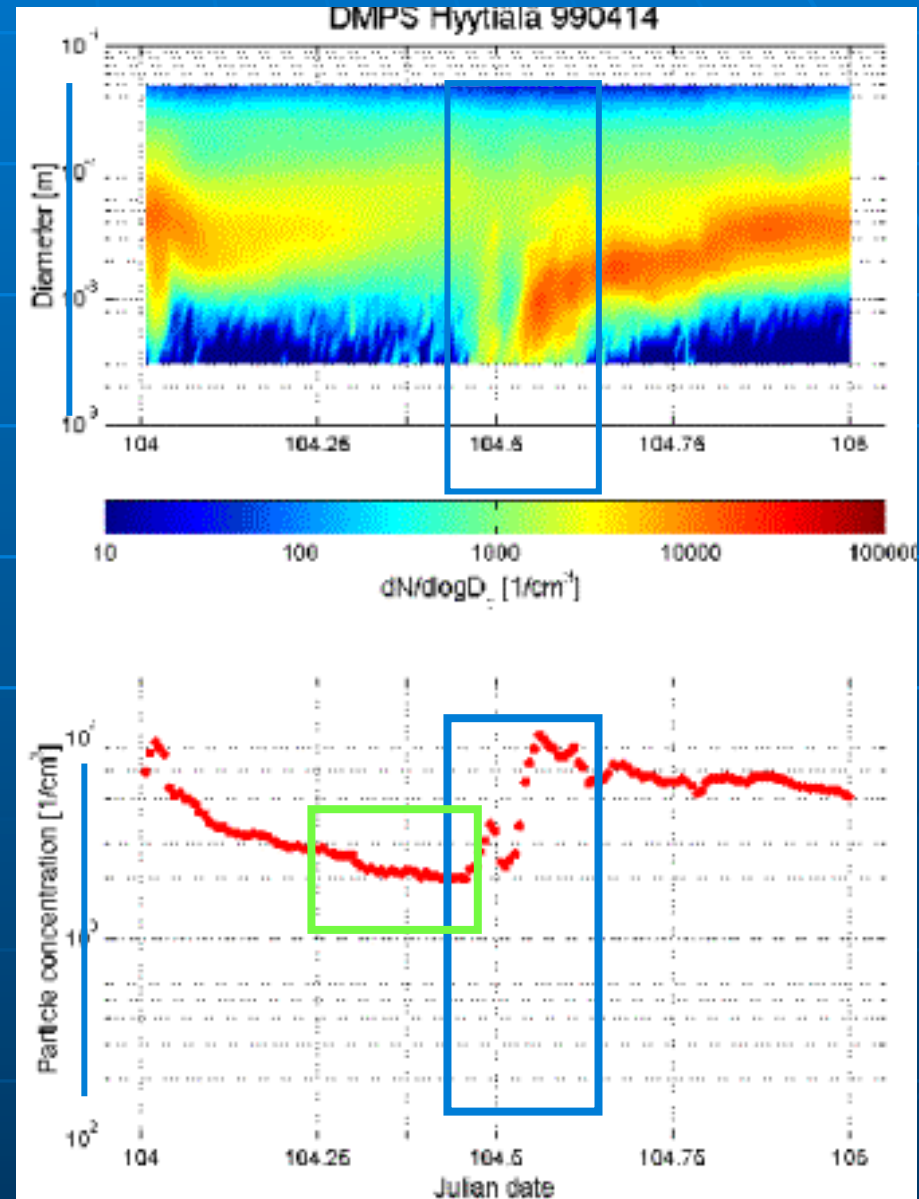
- During daytime, suggesting that photochemistry plays an important role



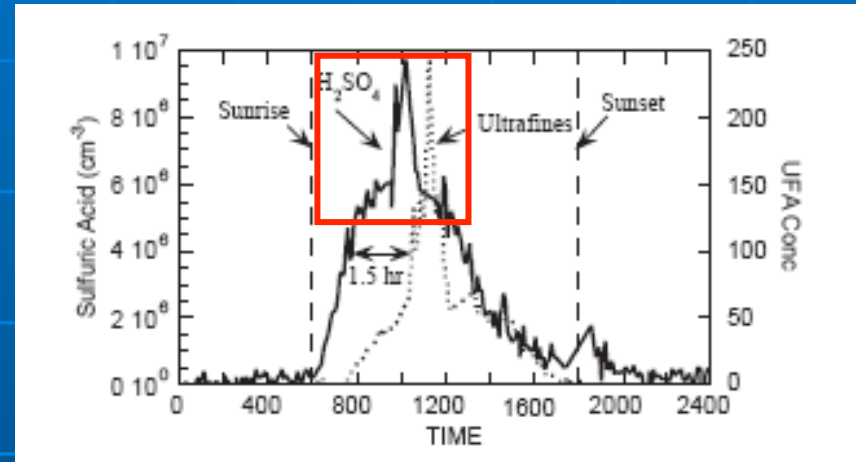


# Particle formation takes place:

- During daytime, suggesting that photochemistry plays an important role
- In presence of only a few pre-existent particles



- In presence of a large source of precursor vapour, photochemical or of biogenic origin
- Sometimes at low temperatures





# What is the formation rate of new particles in the atmosphere?





- At regional scale typically:  $0.01-10 \text{ cm}^{-3}\text{s}^{-1}$
- Up to  $100 \text{ cm}^{-3}\text{s}^{-1}$  in urban areas
- Up to  $10^4-10^5 \text{ cm}^{-3}\text{s}^{-1}$  in coastal zones and industrial plumes

# Nucleation types

- Nucleation of a single species  
(homogeneous-homomolecular)
- Nucleation of two or more species  
(homogeneous-heteromolecular)

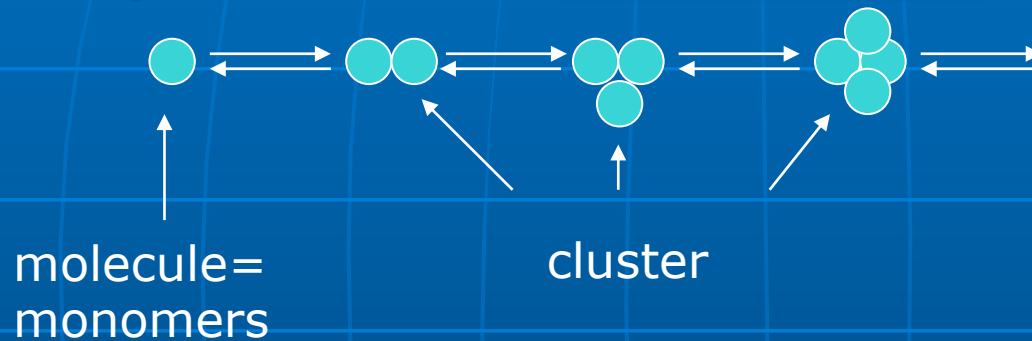


# Nucleation types

- Nucleation of a single species (homogeneous-homomolecular) 
- Nucleation of two or more species (homogeneous-heteromolecular) 
- Nucleation of a single species onto another substance (heterogeneous-homomolecular) 
- Nucleation of two or more species onto another substance (heterogeneous-heteromolecular) 

# Nucleation mechanism

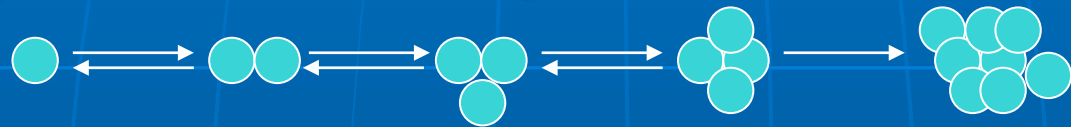
## ■ Vapour A at saturation



- ▶ mean cluster concentration is stable
- ▶ presence of larger clusters is rare

# Nucleation mechanism

- Vapour A at supersaturation



- ▶ there is an excess of monomers compared to saturation
- ▶ a larger number of larger clusters is produced
- ▶ some may then grow beyond a certain critical dimension to give rise to a new phase

# Classical theory of homogeneous nucleation

- It solves a set of equations for the cluster concentration ( $N(t)$ ) of different dimensions

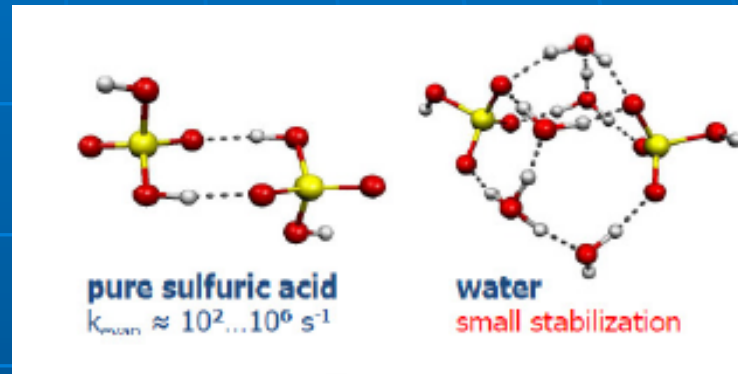
$$\frac{dN_i}{dt} = \beta_{i-1}N_{i-1}(t) - \gamma_i N_i(t) - \beta_i N_i(t) + \gamma_{i+1} N_{i+1}(t)$$

$\beta$  : constant for collision of a monomer with the cluster

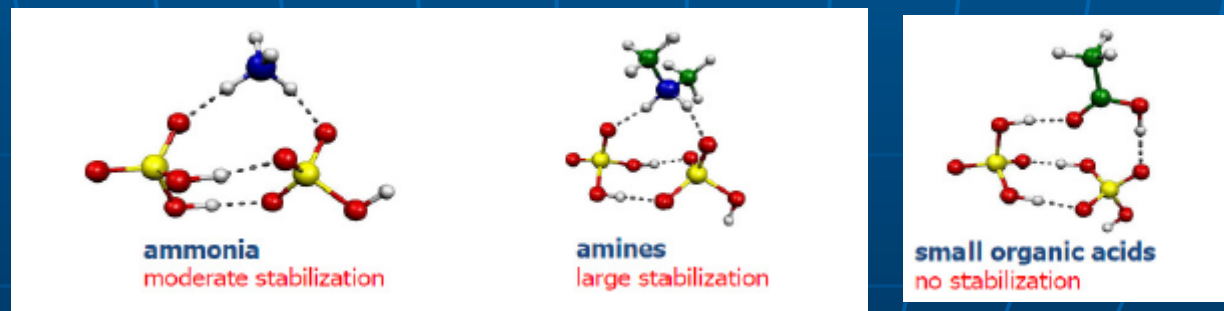
$\gamma$ : constant for evaporation of a monomer from a cluster

# The suspected couple: H<sub>2</sub>SO<sub>4</sub>-H<sub>2</sub>O

- Sulphuric acid and water constitute the cluster



- Other compounds stabilise them and make them grow



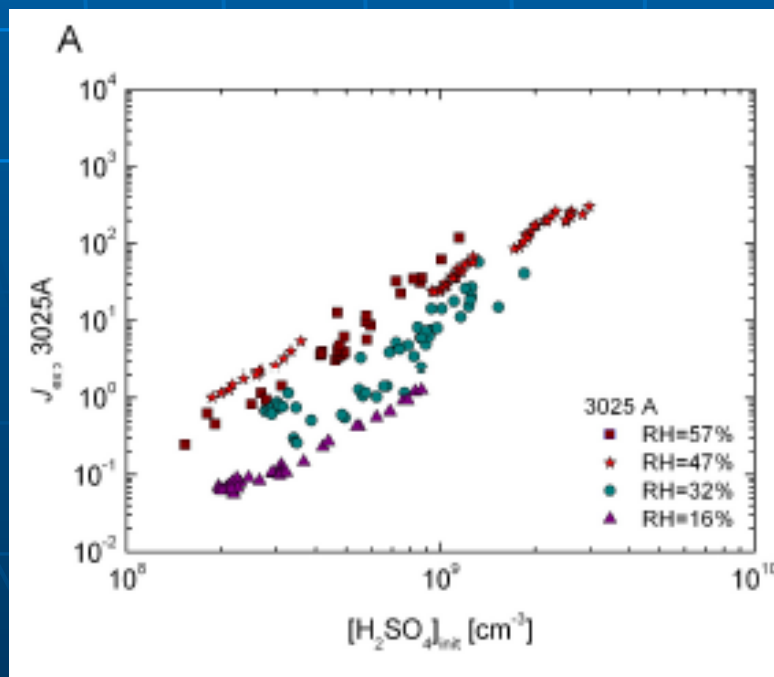


# Measured characteristics of the couple

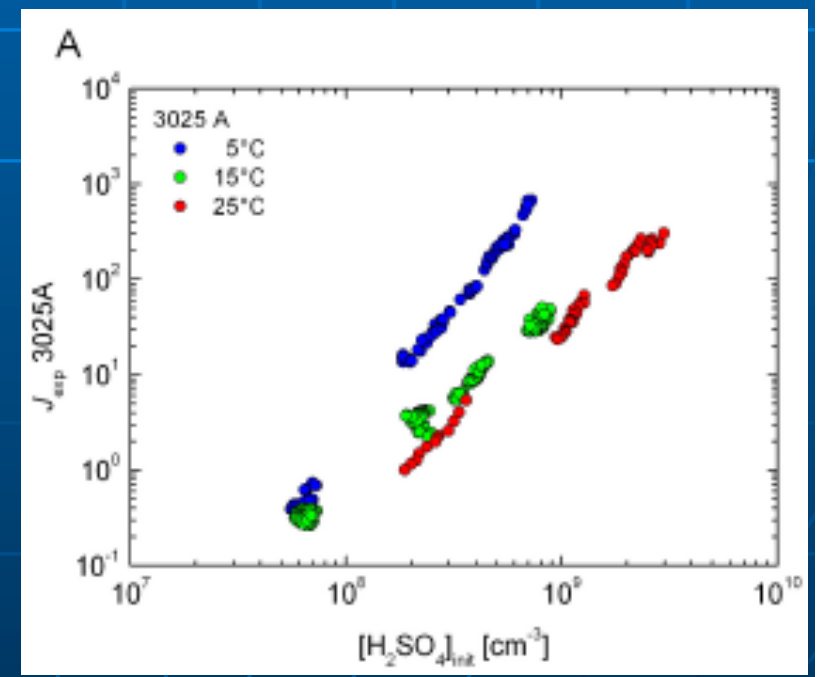
- Binary nucleation
  - ▶ taking place most of all in free troposphere and in industrial plumes, ...)

Measured formation rate vs sulphuric acid (Brus et al. 2010)

Dipendence on relative humidity

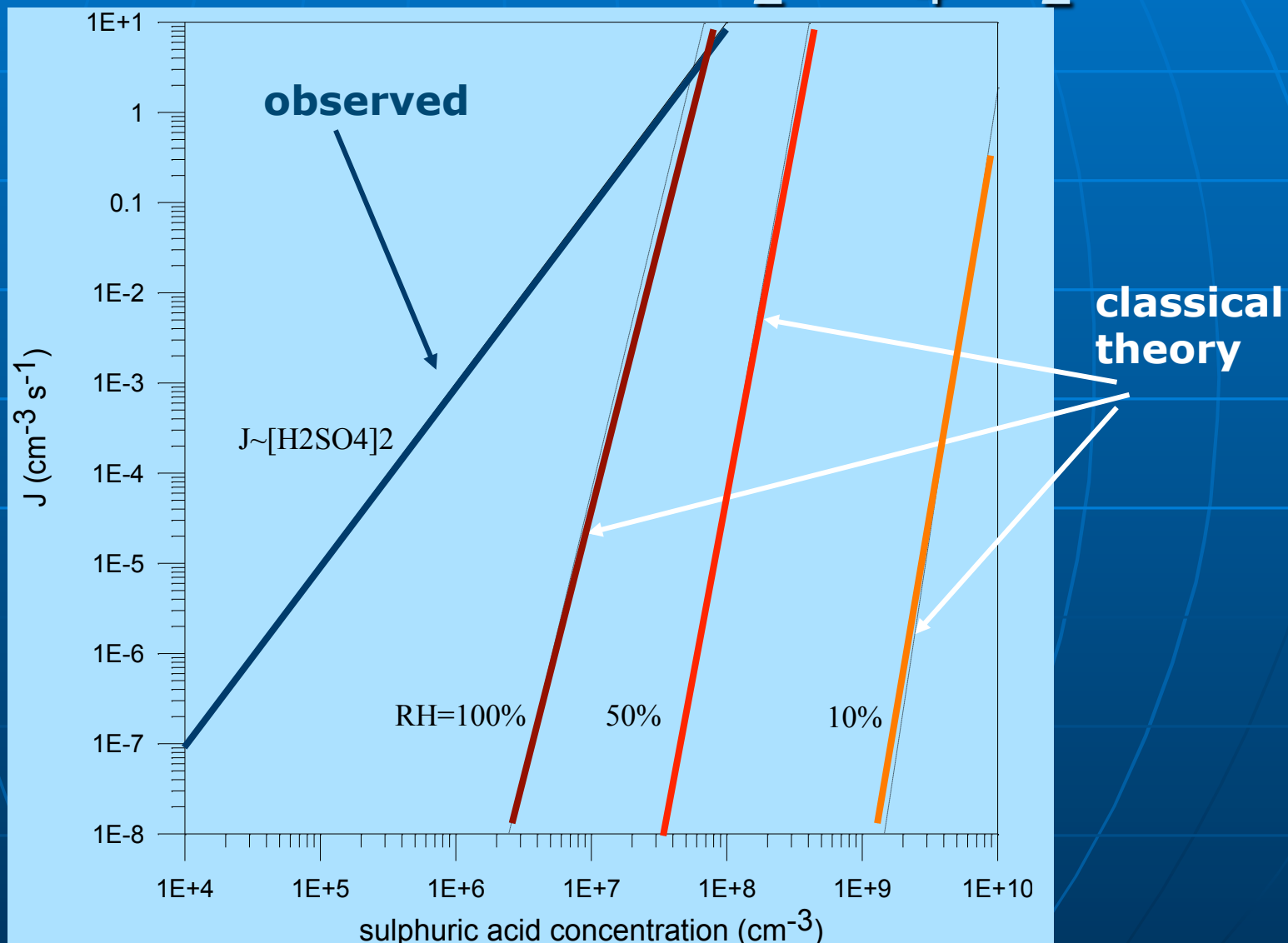


Dipendence on temperature





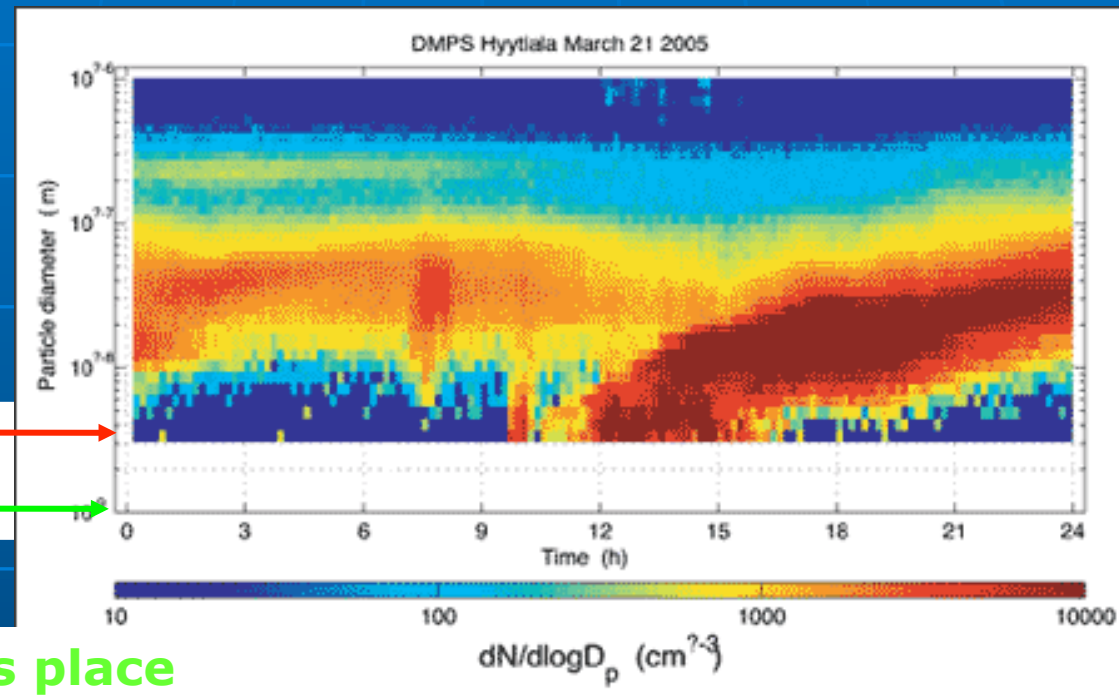
# Measured and calculated nucleation rates for $\text{H}_2\text{SO}_4\text{-H}_2\text{O}$



# Why we do not understand the mechanism yet

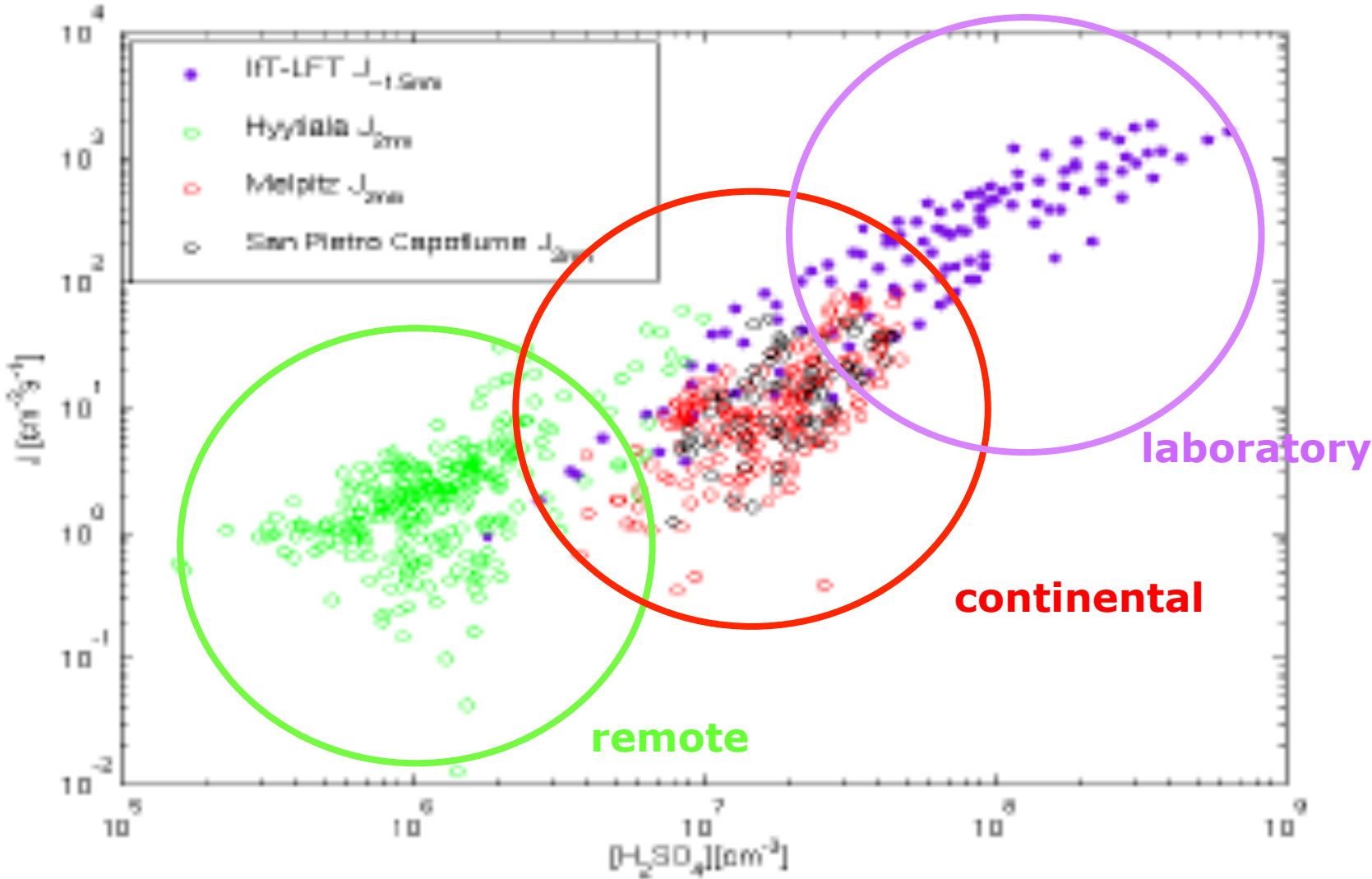
- Critical clusters are too small and cannot be measured
- We cannot measure directly the nucleation rate, but only a “formation” rate of particles with larger diameters
- Theoretically we derive a relation between nucleation rate of cluster and the “formation” rate of measurable particles





How can we then include a reasonable nucleation scheme in models?

# Formation rate of particles measured in different environment



## ■ Formulation of candidate mechanisms for particle formation:

$$J_2 = A [\text{H}_2\text{SO}_4], \quad (4)$$

$$J_2 = K [\text{H}_2\text{SO}_4]^2, \quad (5)$$

$$J_2 = A_{\text{org}} [\text{NucOrg}], \quad (6)$$

$$J_2 = K_{\text{org}} [\text{NucOrg}]^2, \quad (7)$$

$$J_2 = A_{s1} [\text{H}_2\text{SO}_4] + A_{s2} [\text{NucOrg}], \quad (8)$$

$$J_2 = K_{\text{het}} [\text{H}_2\text{SO}_4] \times [\text{NucOrg}], \quad (9)$$

$$J_2 = K_{\text{SA1}} [\text{H}_2\text{SO}_4]^2 + K_{\text{SA2}} [\text{H}_2\text{SO}_4] \times [\text{NucOrg}], \quad (10)$$

$$J_2 = K_{s1} [\text{H}_2\text{SO}_4]^2 + K_{s2} [\text{H}_2\text{SO}_4] \times [\text{NucOrg}] + K_{s3} [\text{NucOrg}]^2, \quad (11)$$

None works in all tested environments!

Mechanisms different depending on the conditions!

Large uncertainties in observations

# Nucleation: take-home message

- Particle formation is important because it
  - Influences particle number in atmosphere
  - Increases number of CCN, therefore influences climate
- It is present in many parts of the globe
- The principal mechanism of formation is still poorly understood

# Coagulation

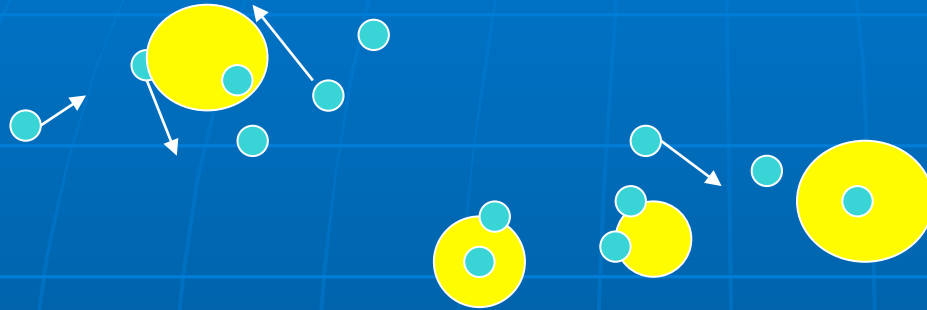


# Coagulation

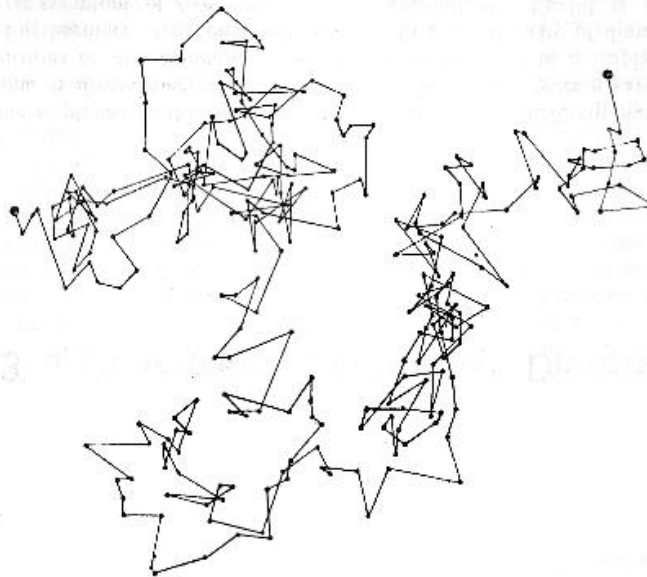
- It is the process by which particles collide among them due to their relative motion and adhere to form a larger particle
  - Thermal coagulation – brownian motion
  - Cinematic coagulation – external actions: gravity, electric forces, aerodynamical effects



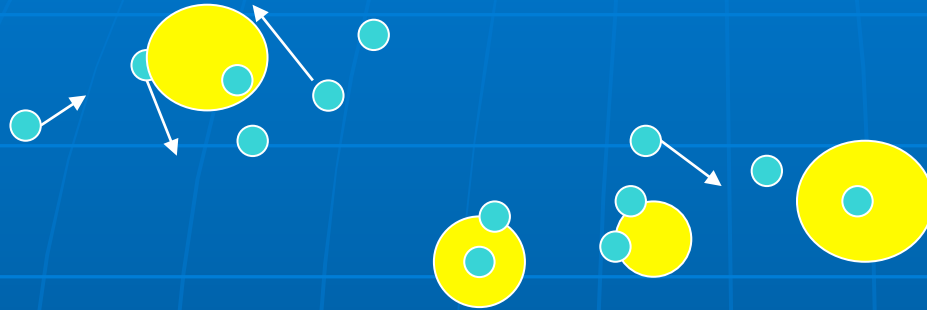
# Brownian coagulation



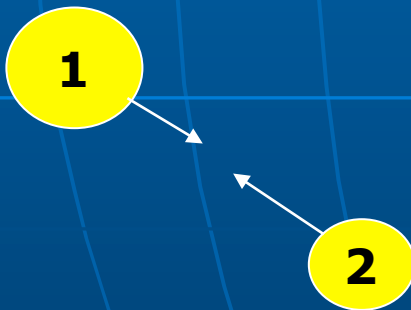
**Brownian motion** = irregular motion of a particle in the steady air, caused by random variations in the continuous bombing of the gas molecules against particle



# Brownian coagulation



**Brownian motion** = irregular motion of a particle in the steady air, caused by random variations in the continuous bombing of the gas molecules against particle



$$\left(\frac{\partial n}{\partial t}\right)_{coag} = -\frac{1}{2} \int_0^{\infty} \int_0^{\infty} K_{1,2} n(r_1) n(r_2) dr_1 dr_2$$

$n(r_1), n(r_2)$  = number concentration of particles with radius  $r_1$  and  $r_2$   
 $K_{1,2}$  = coagulation coefficient

# Coagulation coefficient

$$K_{1,2} = 4\pi(r_1 + r_2)(D_1 + D_2)\beta$$

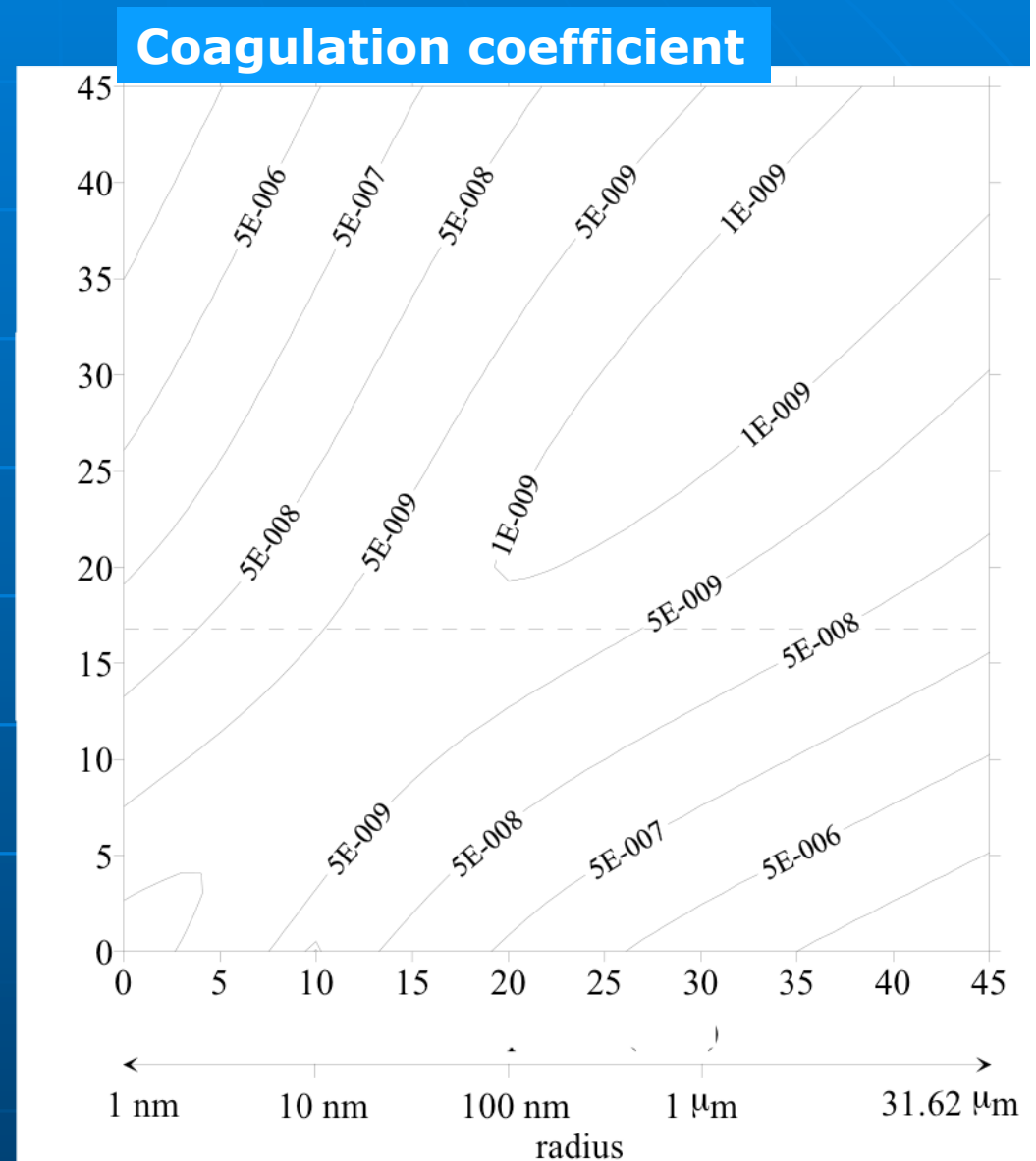
**D = diffusion coefficient of particles**

$$D = \frac{k_B T C_c}{6\pi\eta r}$$

**$\beta$  = Fuchs' correction factor**

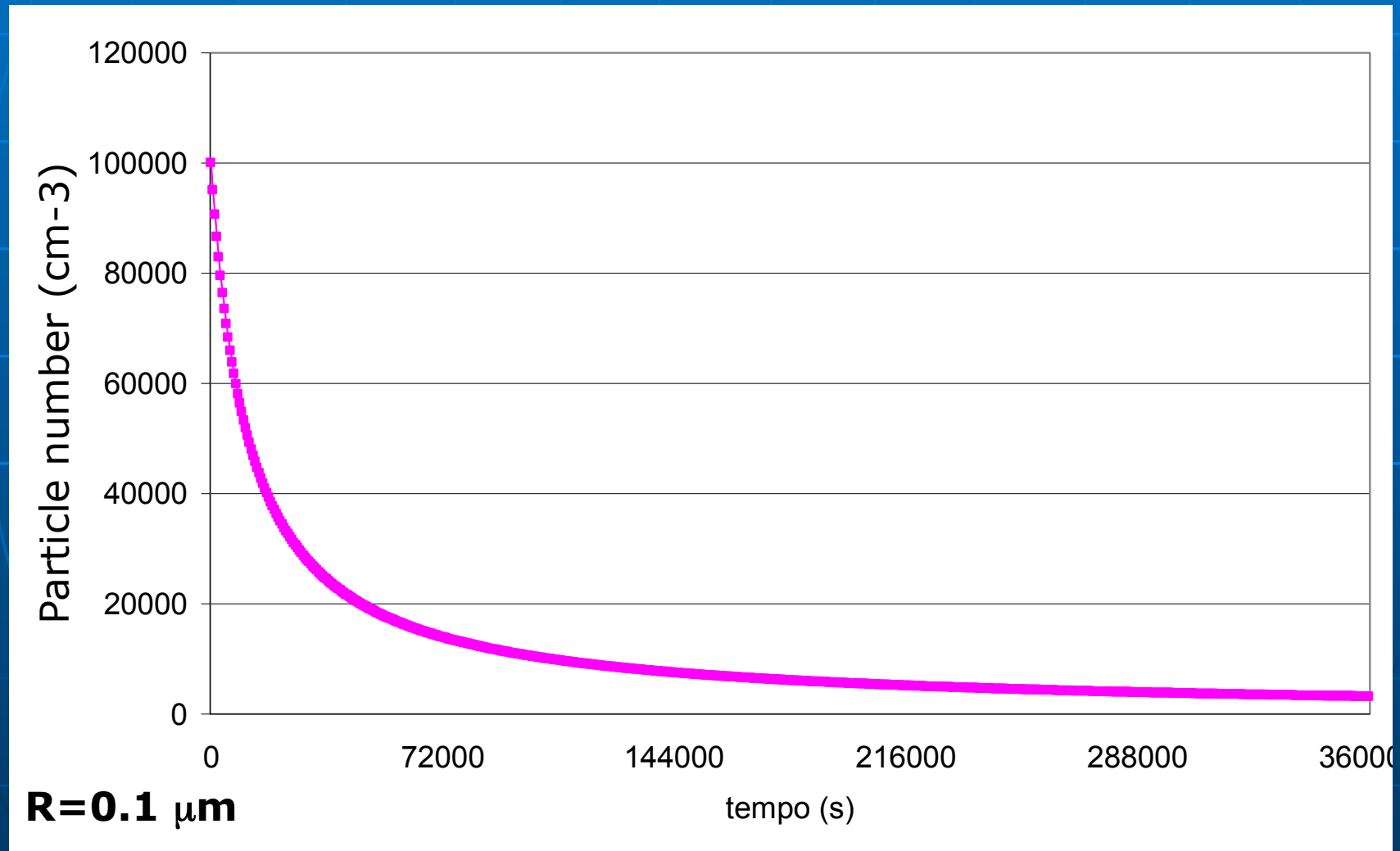
**K depends on temperature, air viscosity, particle mean thermal, particle radius and mass, ...**

- Symmetric matrix
- Minimum values along the diagonal line
- Maximum values for coagulation of a very small particle with a very large one

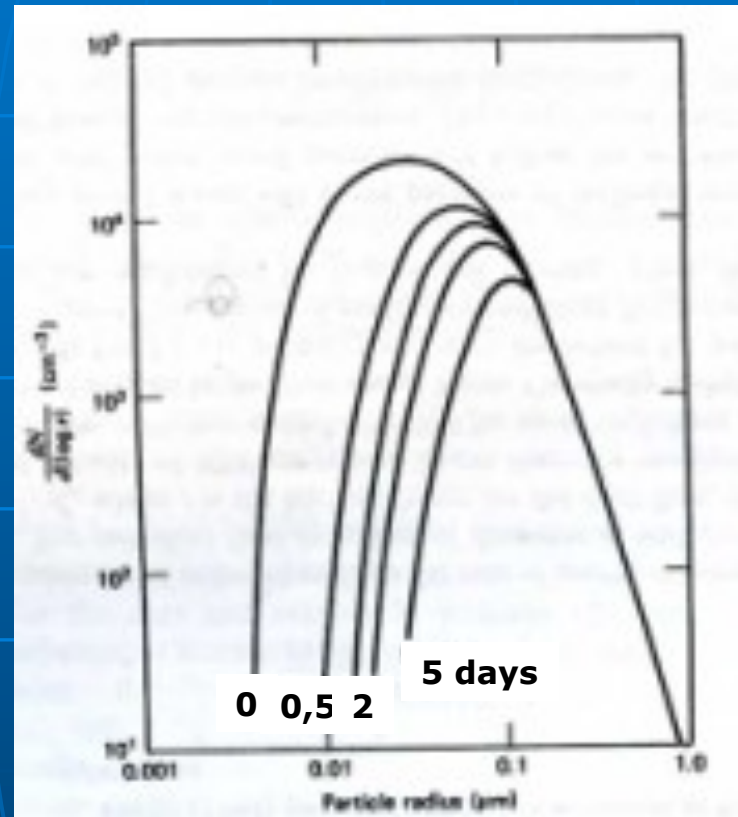


**cm<sup>3</sup> s<sup>-1</sup>**

# How does coagulation affect particle number?



# ... and effects on number size distribution?

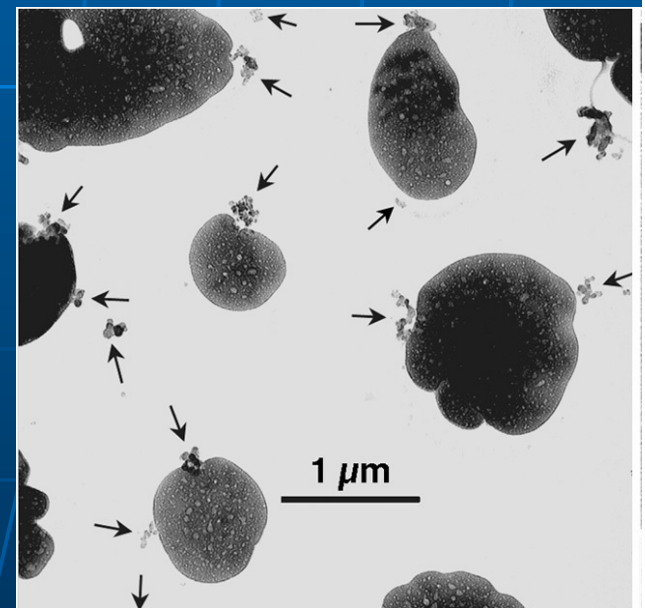


Hinds, 1982

# Coagulation: take-home message

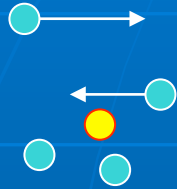
- It is the process that determines the mass flux from smaller dimensions to larger ones
- It is important in high particle concentration conditions and in presence of a distribution spanning on a large dimension range
- It is a source of particles of mixed chemical composition

# Condensation





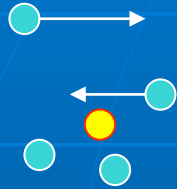
# Condensation regimes



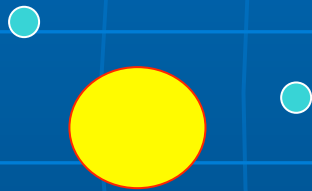
**$r < \text{mean molecular path}$**

**Particle growth is determined by the rate of random collisions with the gas molecules (kinetic regime)**

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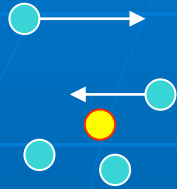


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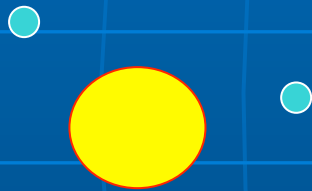


**$r > \text{mean molecular path}$**   
**Particle grow is determined by the rate of gas molecule diffusion to the particle surface (continuous regime)**

# Condensation regimes



**$r < \text{mean molecular path}$**   
**Particle grow is determined by the rate of random collisions with the gas molecules (kinetic regime)**



**$r > \text{mean molecular path}$**   
**Particle grow is determined by the rate of gas molecule diffusion to the particle surface (continuous regime)**

- If the particle is not in equilibrium with the surrounding gas, a mass flux between the particle and the gas starts

# How do we model condensation (evaporation) to a particle?

- The flux of a gas that condenses on a particle of radius  $r$  (for both kinetic and continuous regimes):

$$\frac{dm}{dt} = C_{cond}$$

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- The flux of a gas that condenses on a particle of radius  $r$  (for both kinetic and continuous regimes):

$$\frac{dm}{dt} = C_{cond}$$

$$C_{cond} = \frac{4\pi D_m r}{\underbrace{\frac{4D_m}{\alpha v r} + \frac{r}{r + \Delta}}_A} (C_\infty - C_s)$$

**$D_m$  = molecular diffusion coefficient**

**$v$  = molecular thermal velocity**

**$\Delta$  = can be the molecular mean path**

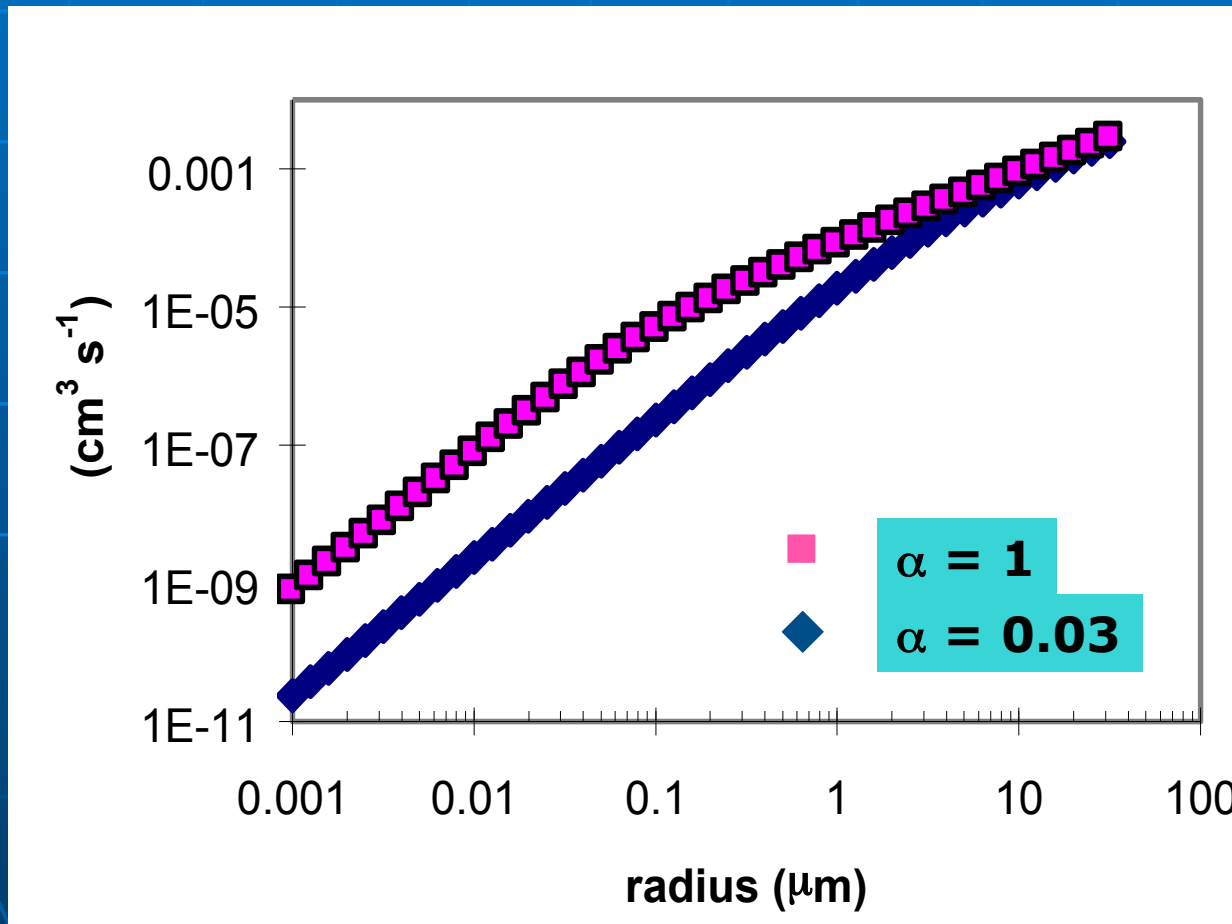
**$r$  = particle radius**

**$\alpha$  = accommodation coefficient ( $0 \leq \alpha \leq 1$ )**

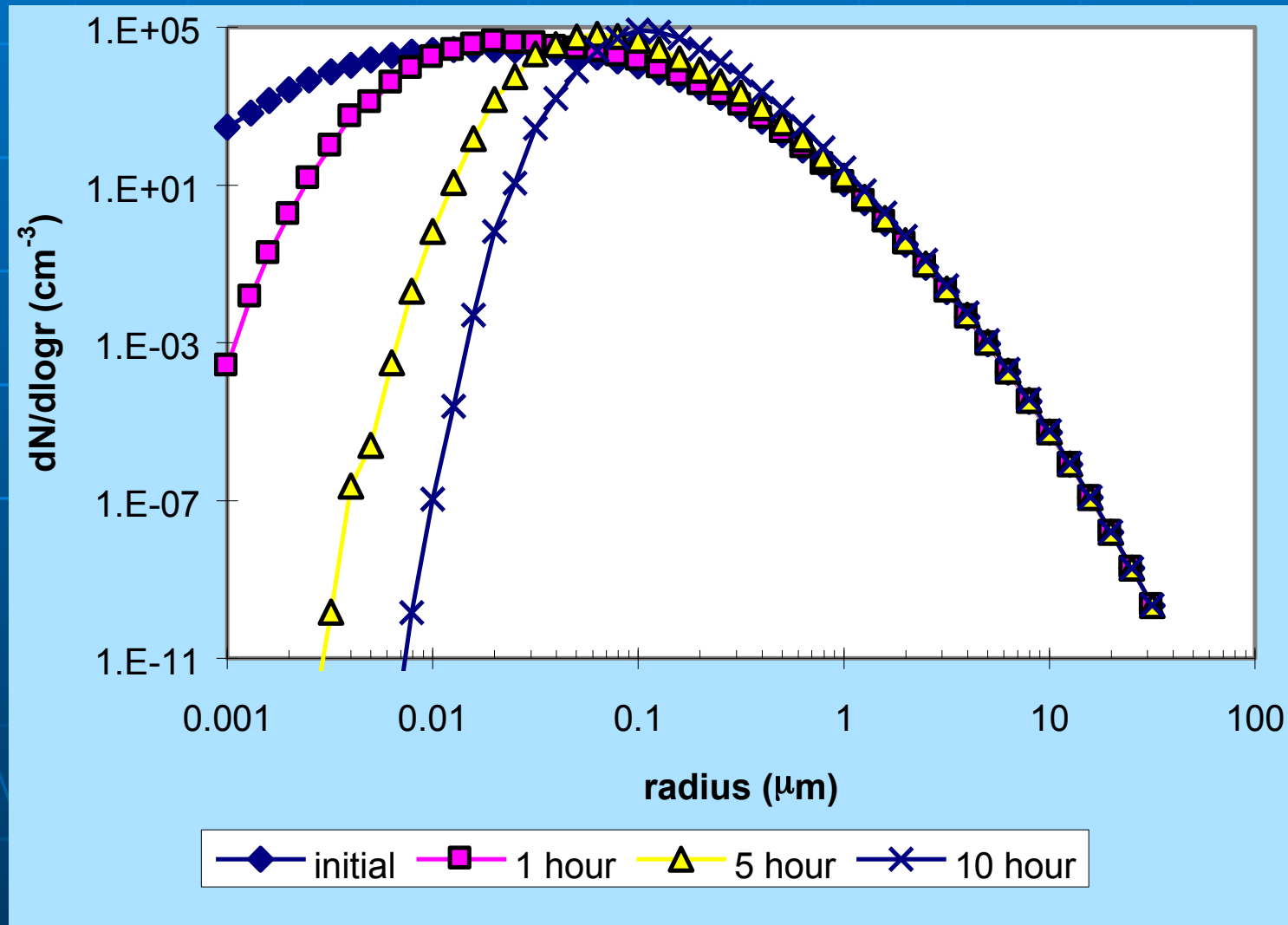
**$C_\infty$  = gas concentration**

**$C_s$  = gas concentration at particle surface**

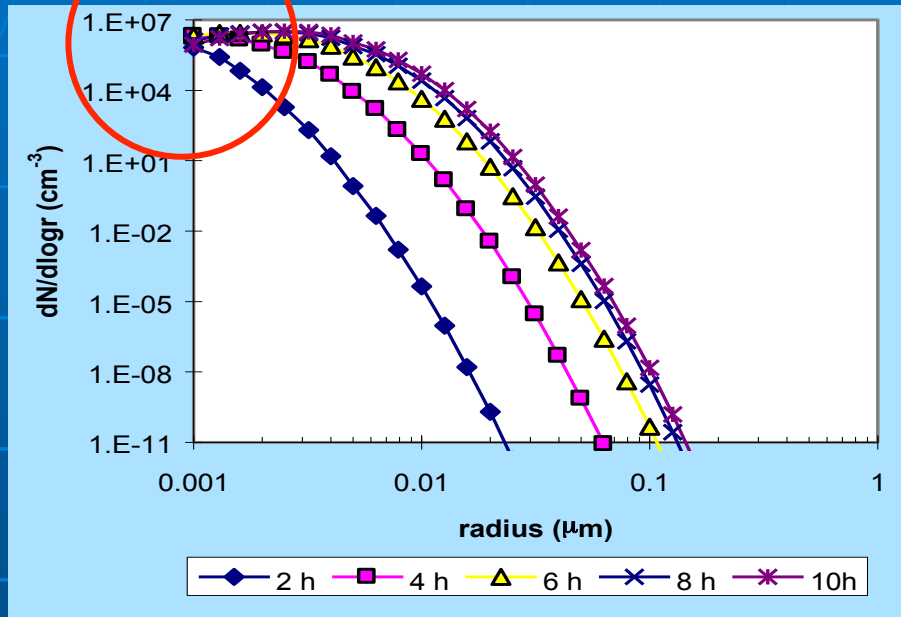
# Accommodation coefficient $\alpha$ , a troublemaker beast



# Condensation of sulphuric acid ( $\text{H}_2\text{SO}_4$ ), effect on size distribution



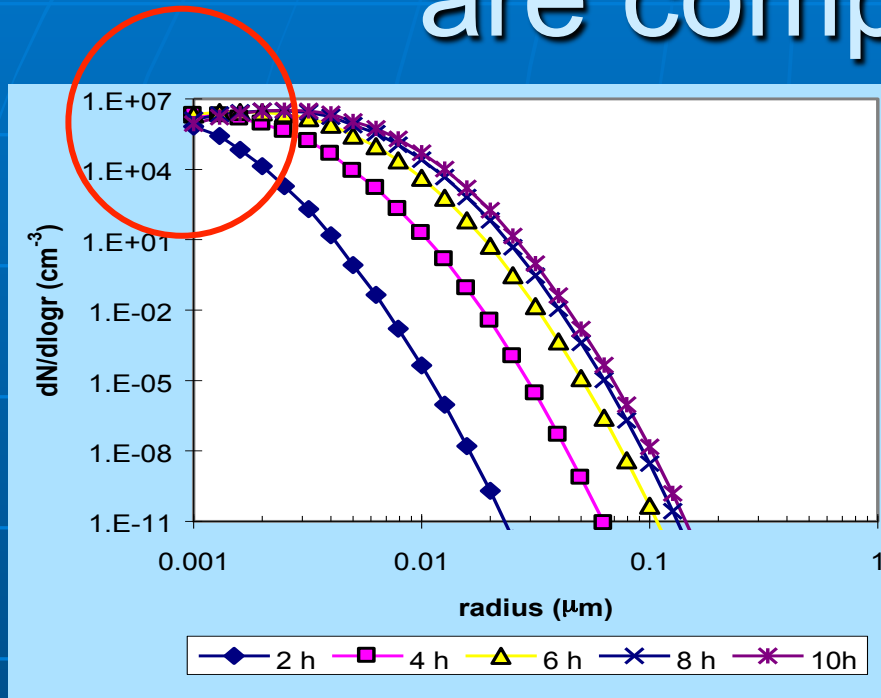
# Nucleation and condensation are competing guys



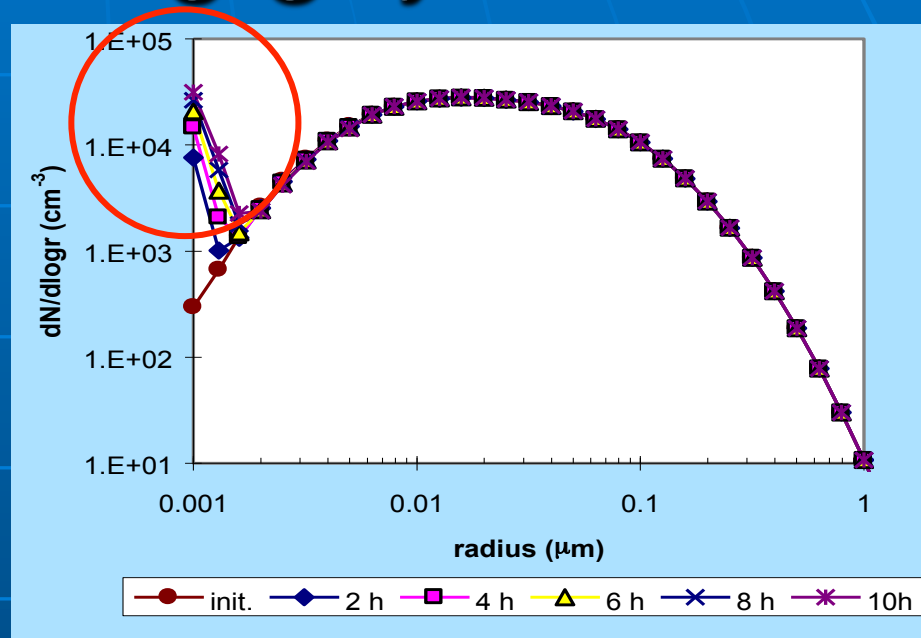
**Nucleation without pre-existing particles**



# Nucleation and condensation are competing guys



**Nucleation without pre-existing particles**

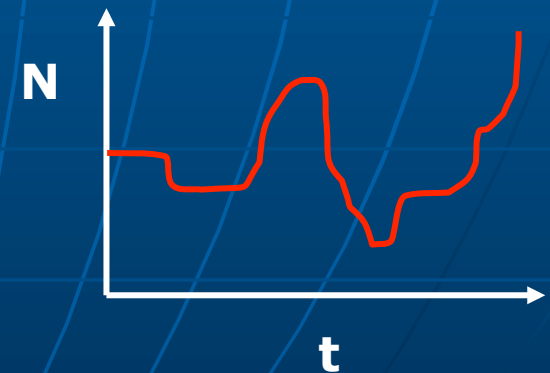


**Nucleation in presence of pre-existing particles**

**Nucleation is less efficient!!!  
Condensation subtracts gas**

# Let's build a 0-dimensional aerosol model

0-D spatial, time is the only  
dimension

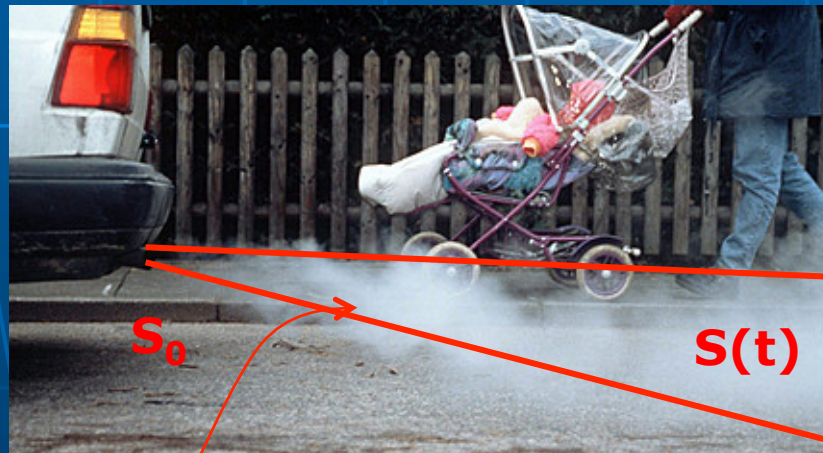


# Our problem

- Transformation of particles emitted by a car in a street:
  - Which is the role of coagulation in the plume emitted by a vehicle?

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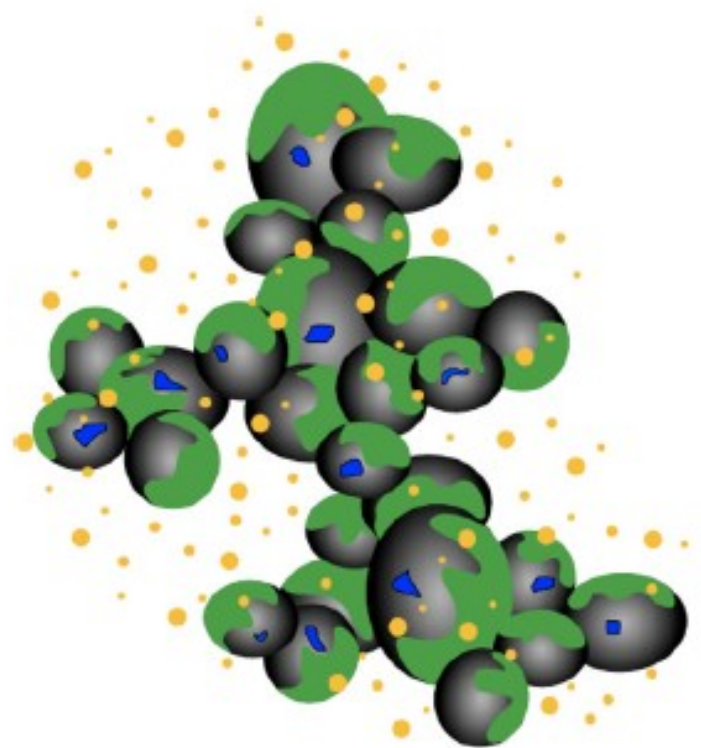


**Air and particles present in the background**

Vignati et al., 1999

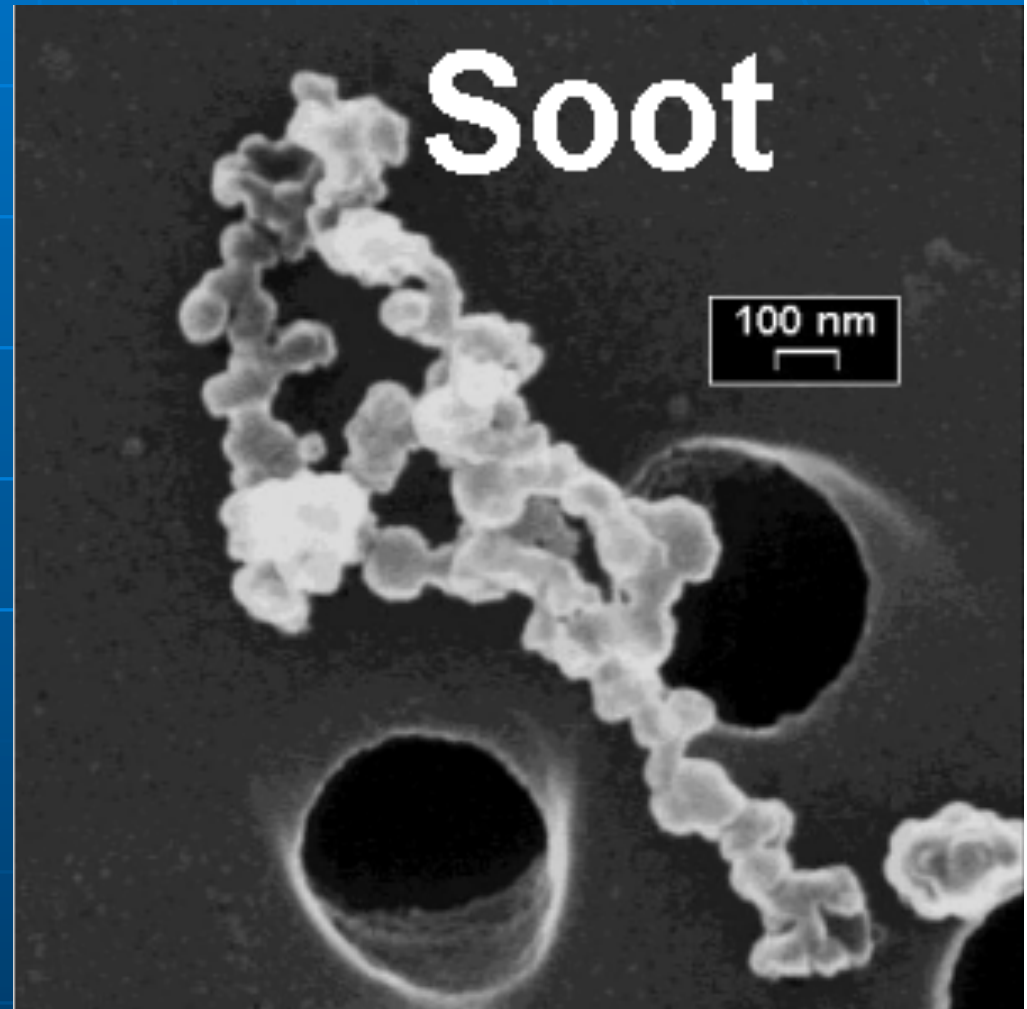
**S= plume section**

# The particle we have to model



- = soot
- = nucleation mode
- = condensed HC/SO<sub>4</sub>
- = imbedded metallic ash

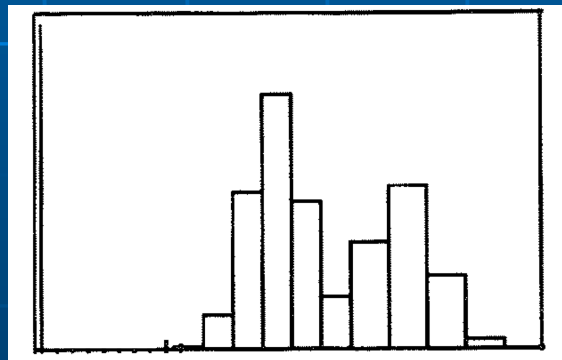
Fig. 1. Artist's conception of diesel PM.



**Matti Maricq, 2007**

# How do we construct the model?

1. Our particles are supposed to be spheres
2. We do not have restriction for computer time → sectional model

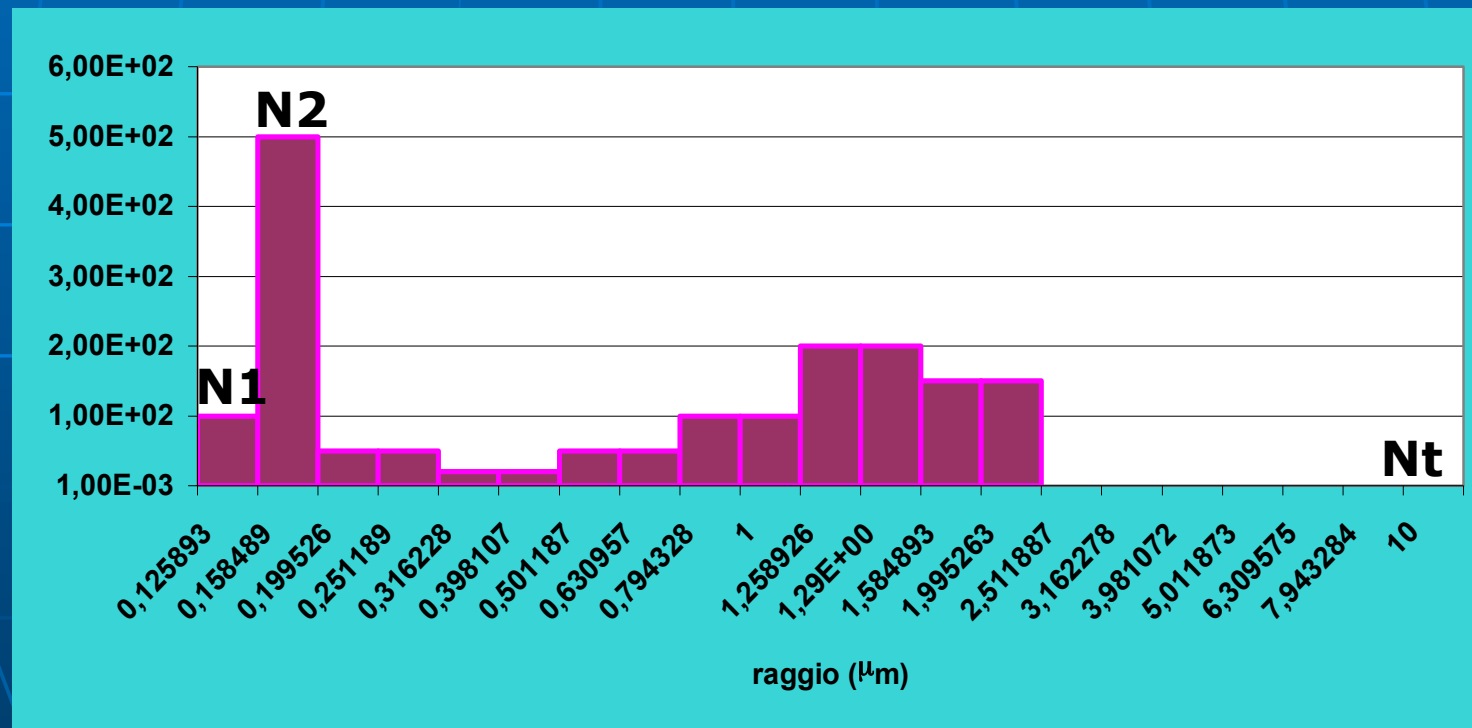


# How do we construct the model?

## 3. Description of particle distribution

$$\text{Radius}(i) = 0.001 * 10^{(i \times 0.1)} \quad i = 1, 46$$

## 4. Initial conditions for the particles



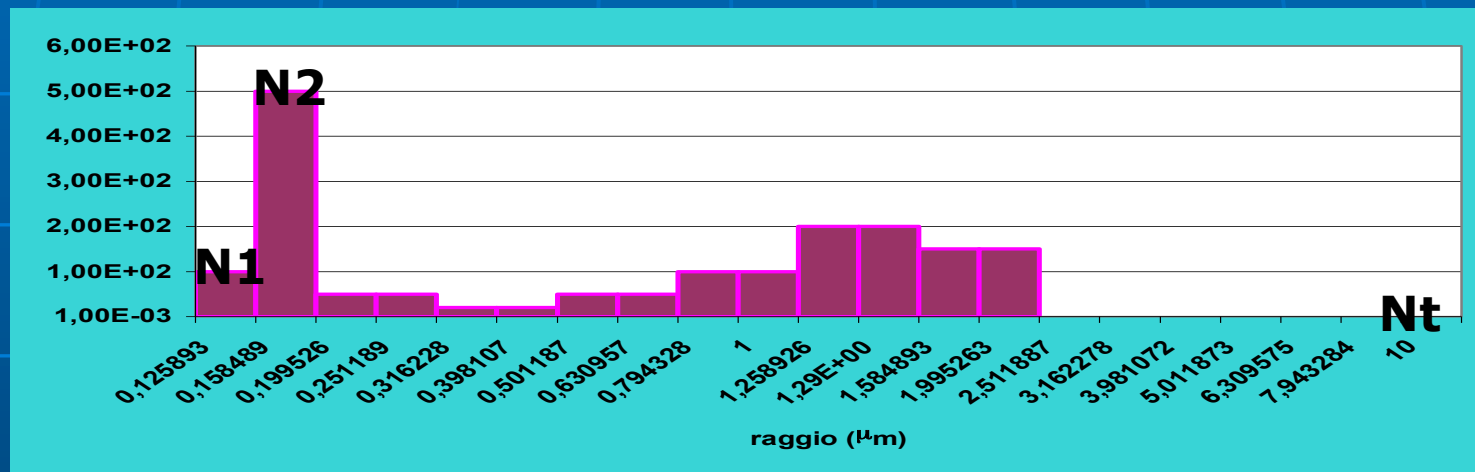


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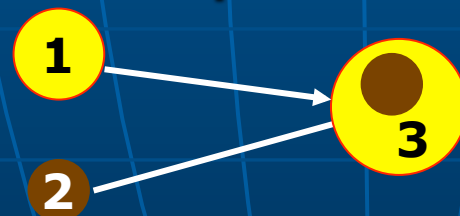
## 3. Description of particle distribution

$$\text{Radius}(i) = 0.001 * 10^{(i \times 0.1)} \quad i = 1, 46$$

## 4. Inizial conditions for the particles



## 5. Ipothesis on particle coagulation



$$r_1^3 + r_2^3 = r_3^3$$



# Processes to be modelled

- Coagulation
- Dilution of the car plume from the exhaust
- Entrainment of background particles already present in the street

6. We write the governing equation

$$\frac{dN_i}{dt} = P_{(i)} - L_{(i)}N_i + \frac{1}{S} \frac{dS}{dt} (N_{b(i)} - N_i)$$

$N_i$  = particle number in class i

$P_i$  = production of particles in classe i due to coagulation

$L_i$  = loss of particles in class i due to coagulation

$N_{b(i)}$  = background particle number concentration

$S$  = plume section

7. We solve it numerically

8. We look at the results....

## and we have a surprise

- Coagulation alone does not have any effect
- The resulting size distribution is dominated by entrained background particles

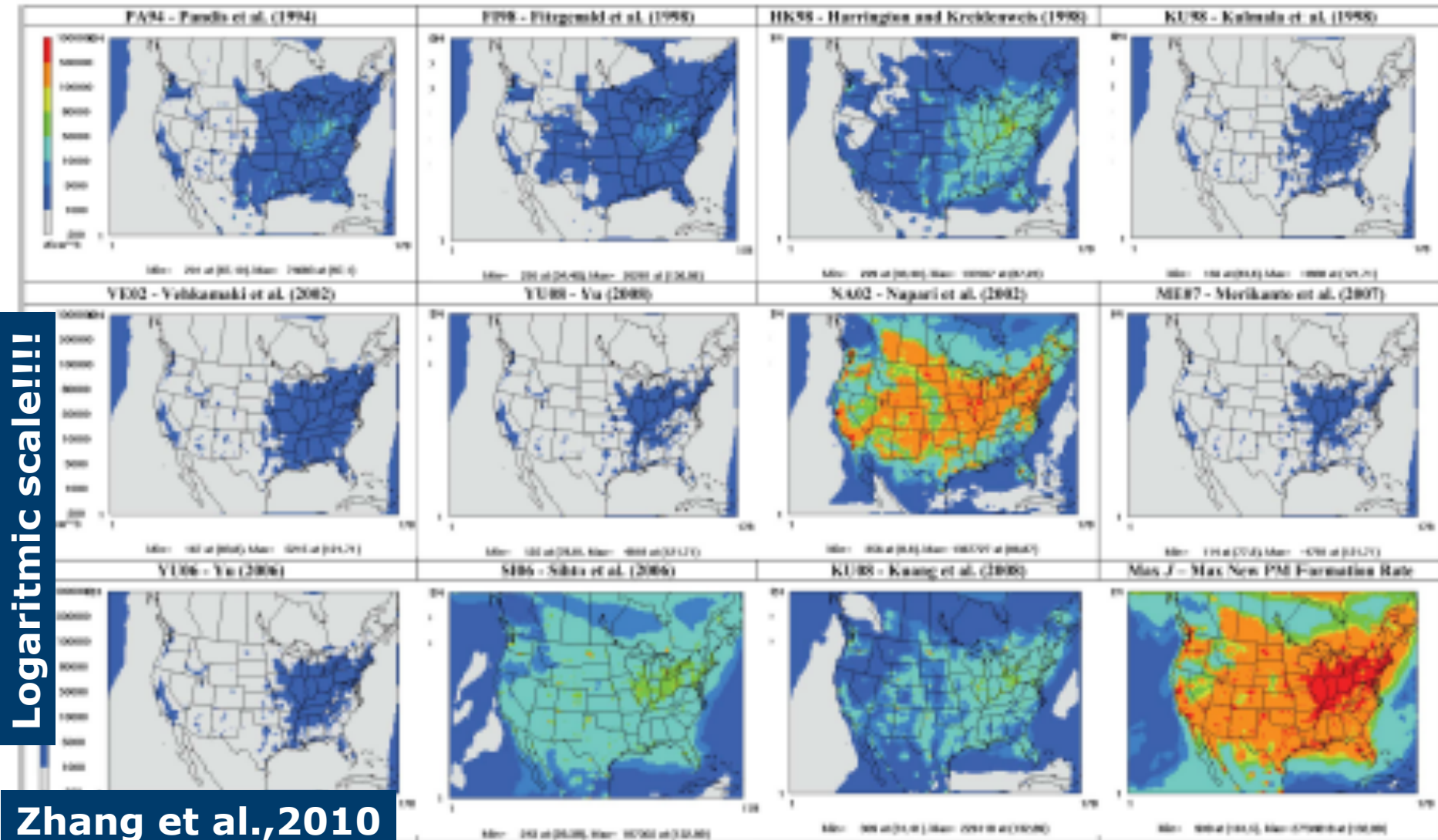
# Aerosol dynamics in 3-D

3-D spatial ( $x, y, z$ )  
and time



# Nucleation schemes make a difference!

Particle concentrations in USA, with a regional model and nucl. sch.



# Transport of Black Carbon to the Poles

- Produced by incomplete combustion of fossil fuels
- It absorbs solar radiation warming the atmosphere
- Deposited on snow it favours the snow warming and caused its rapid melting





# Which aerosol chemical composition and size distribution?

- We have a 3-D global chemistry transport model
- We need to include all compounds important for the global scale:

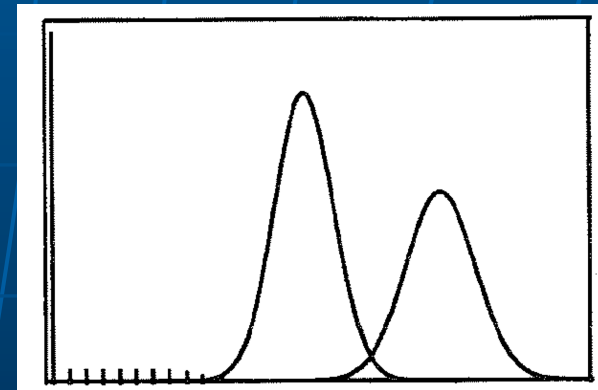
black carbon, organic carbon, sea salt, dust, sulphate → large computer time!

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black carbon, organic carbon, sea salt, dust, sulphate → large computer time!

Modal model is the best choice





# Is dynamics necessary?

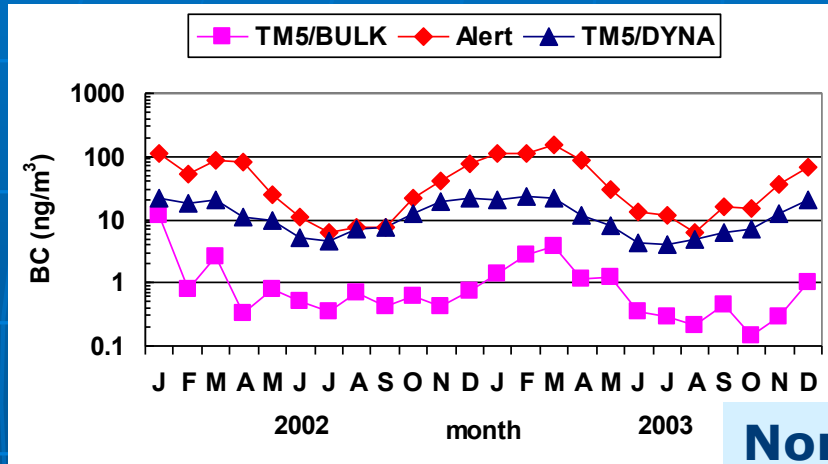
without dynamics



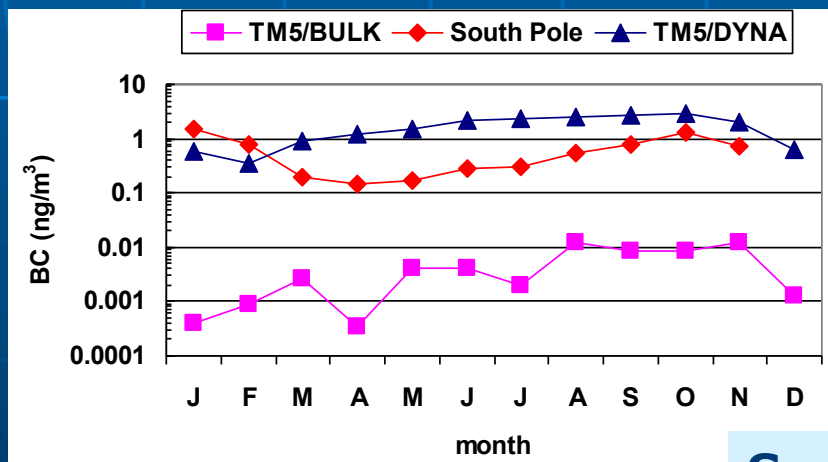
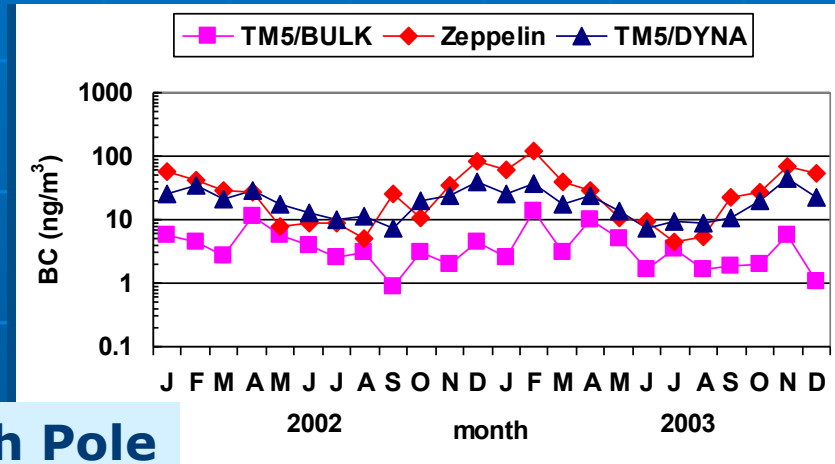
with dynamics



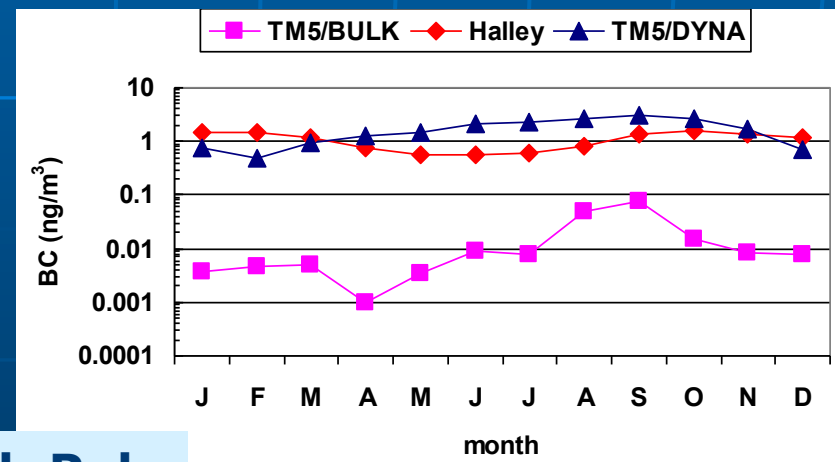
observations



North Pole



South Pole



# Emissions

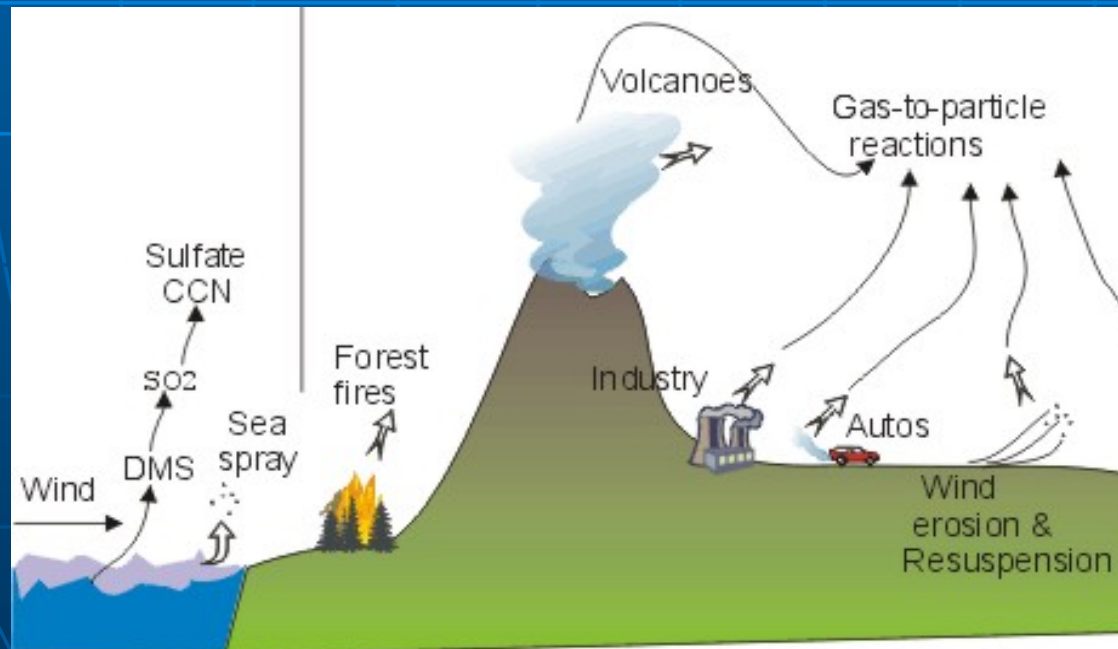
# Sources

Primary particles

Black carbon  
Organic Carbon  
Sea salt  
Dust

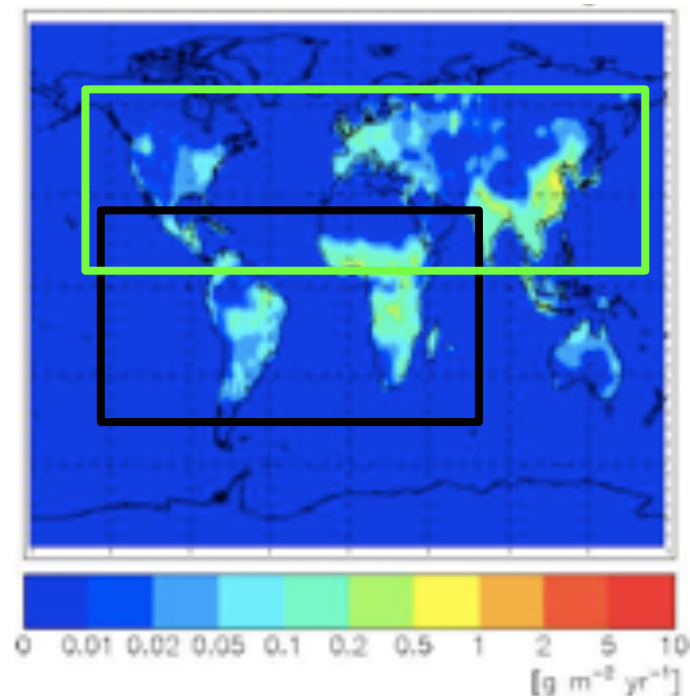
Precursors

SO<sub>2</sub>, DMS  
NO<sub>x</sub>  
NH<sub>3</sub>  
VOCs



# Black carbon

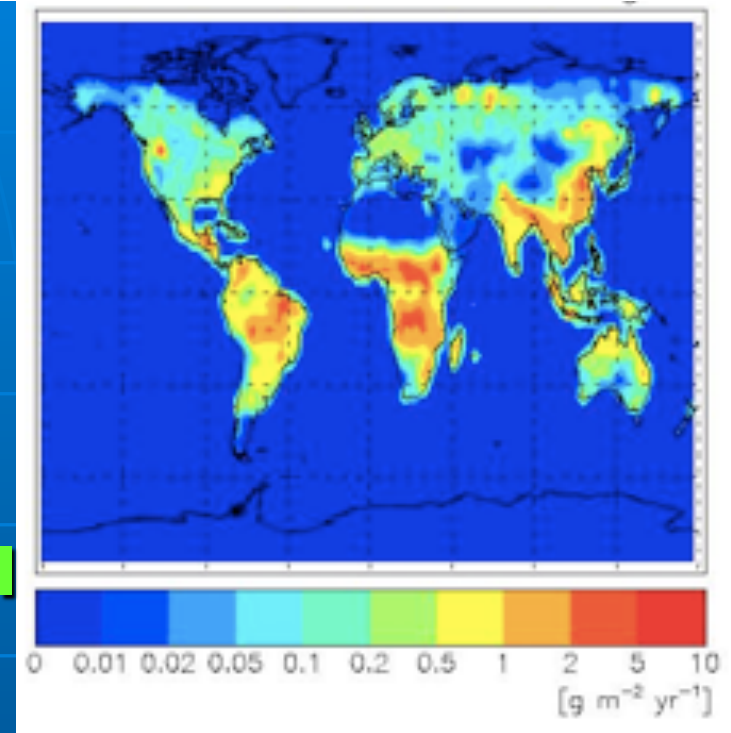
- **Large scale biomass burning:**  
 $\approx 3 \text{ TgC yr}^{-1}$
- **Anthropogenic sources (fossil and biofuels):**  
 $\approx 5.4 \text{ TgC yr}^{-1}$ 
  - A) Domestic use
  - B) Road transport
  - C) Industry



Uncertainty of a factor of 2

# Organic Carbon

- **Large scale biomass burning:**  
 $\approx 34.7 \text{ Tg yr}^{-1}$
- **Anthropogenic sources (fossil and biofuels):**  
 $\approx 19.1 \text{ Tg yr}^{-1}$ 
  - A) Domestic use
  - B) Road transport
  - C) Industry

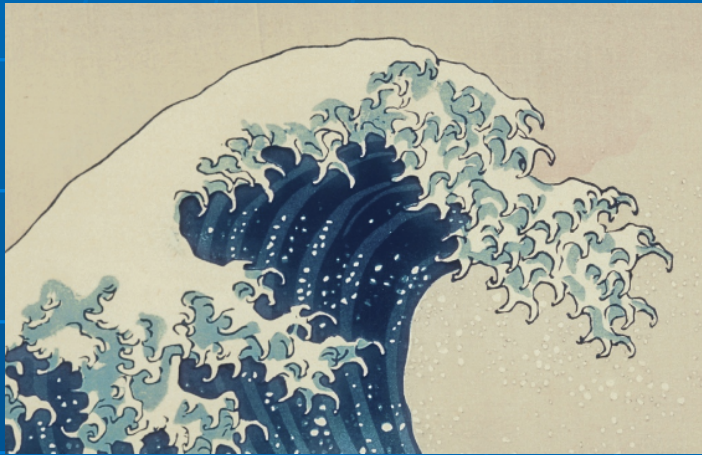


Uncertainty of a factor of 2

# Sea Salt

## Mechanism of production

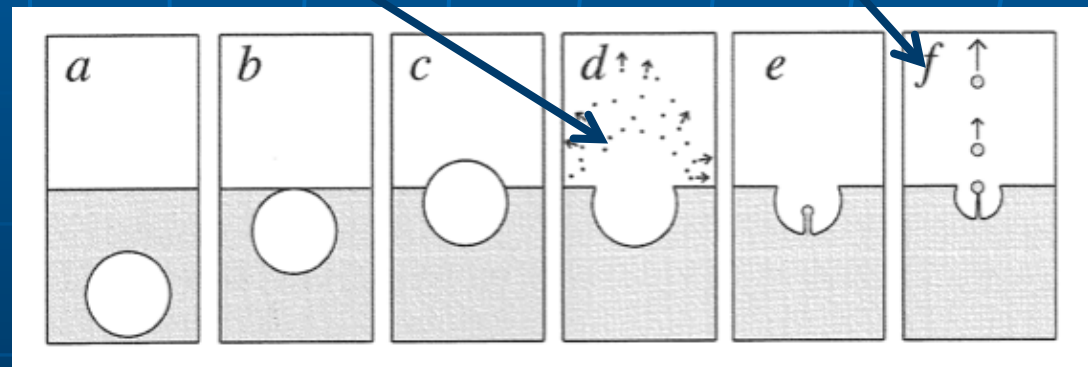
Air entrainment



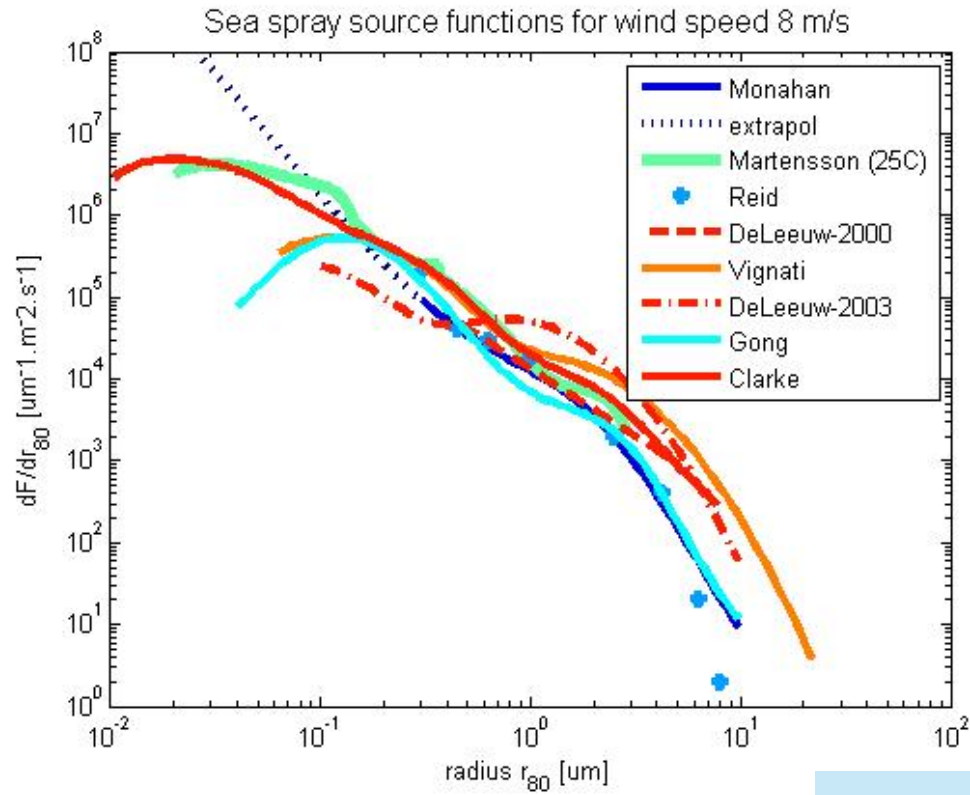
Film droplet injection

Jet droplet injection

Bubble bursting



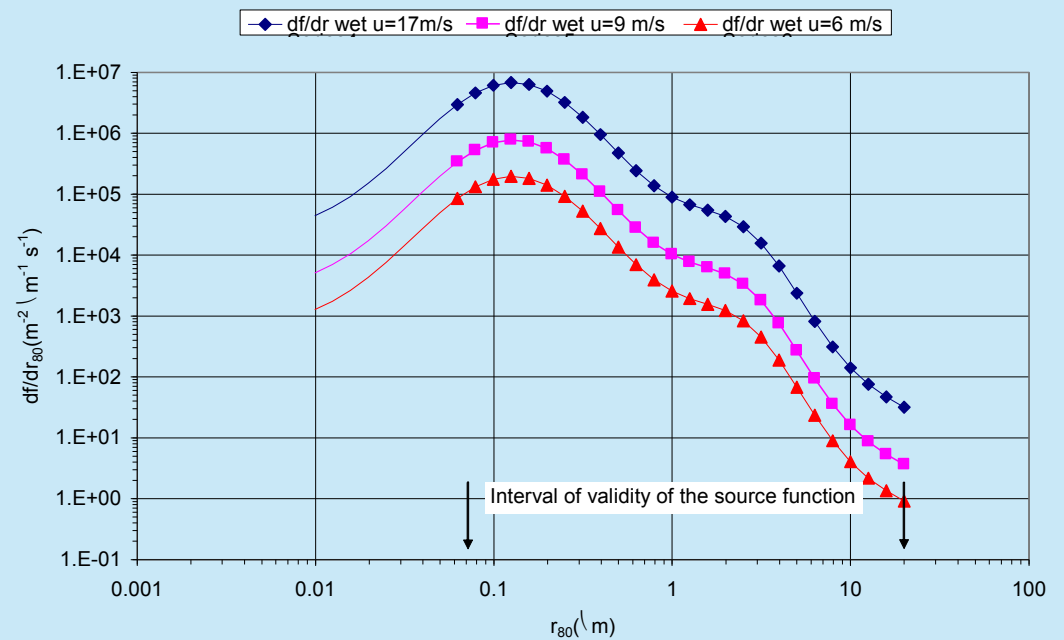
(Schwarz and Lewis, 2004)



(De Leeuw et al, 2011)

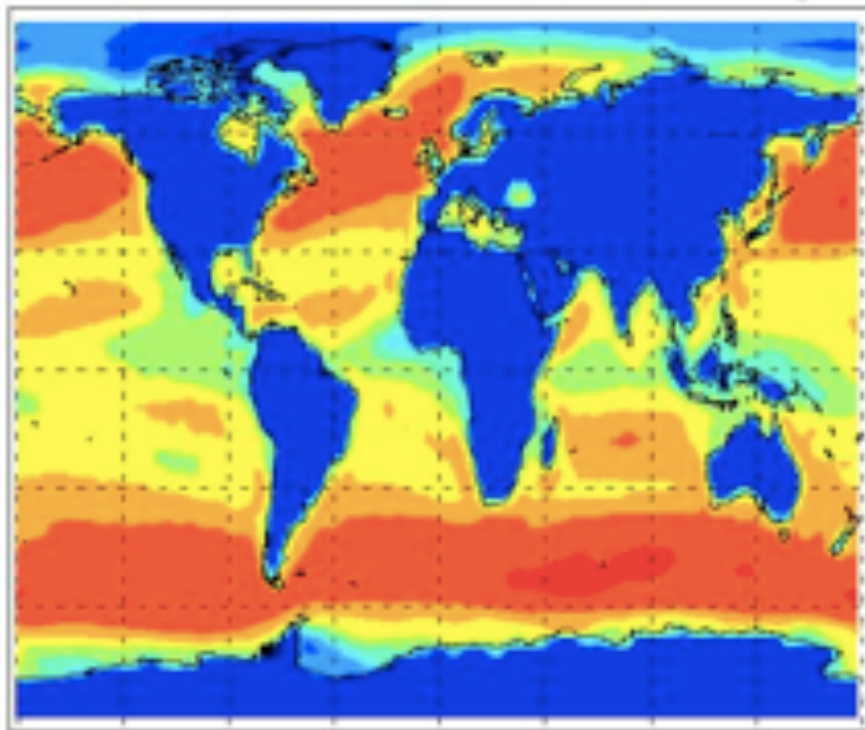
Dependence of wind speed

Gong, 2003





Emissions of SS = 5311.7 Tg



High winds

Low winds

High winds



# Dust

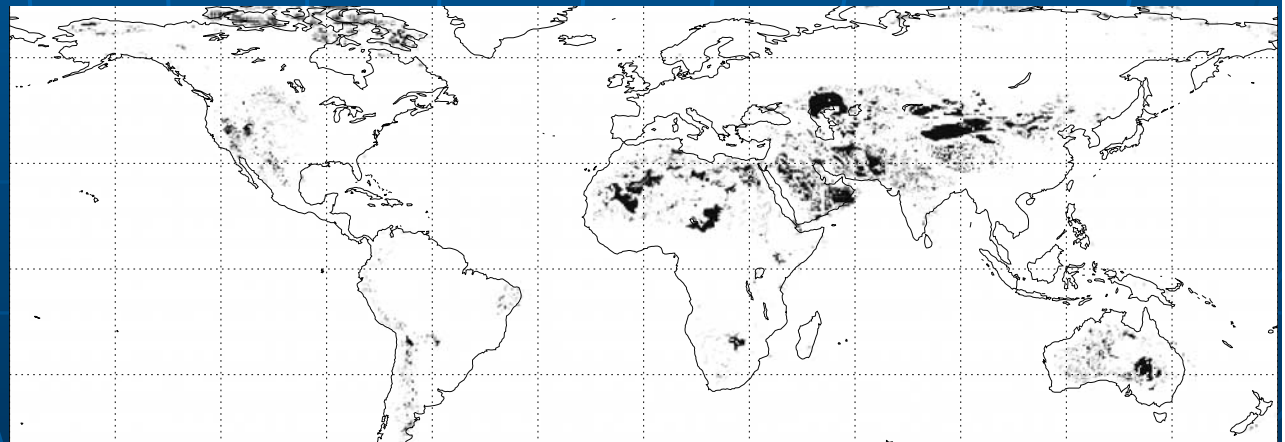


- Mechanism of production: soil deflation from bare surface when wind exceeds a certain threshold

# Dust



- Mechanism of production: soil deflation from bare surface when wind exceeds a certain threshold
- from dust potential sources (using vegetation maps, dust grain types, low surface roughness,...)



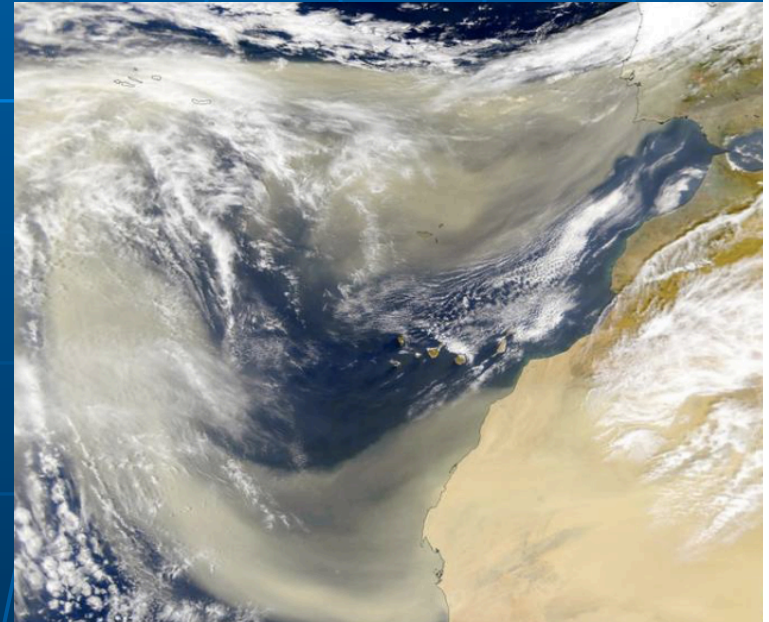
Tegen et al., 2002

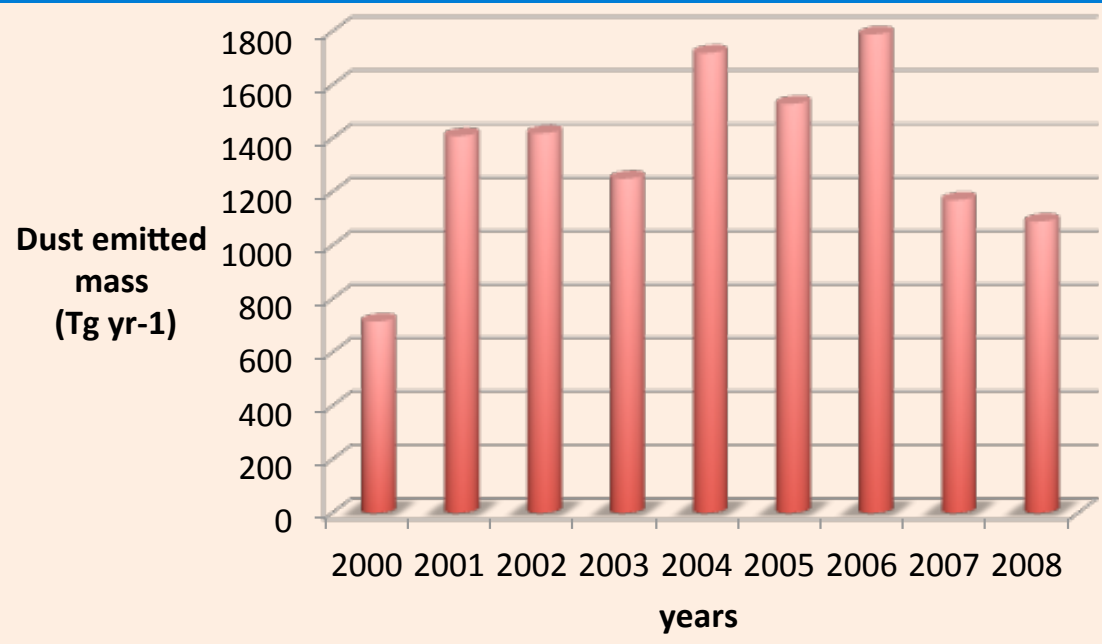
# Still quite uncertain

- High uncertainties: too few data that can constrain the modelled emissions

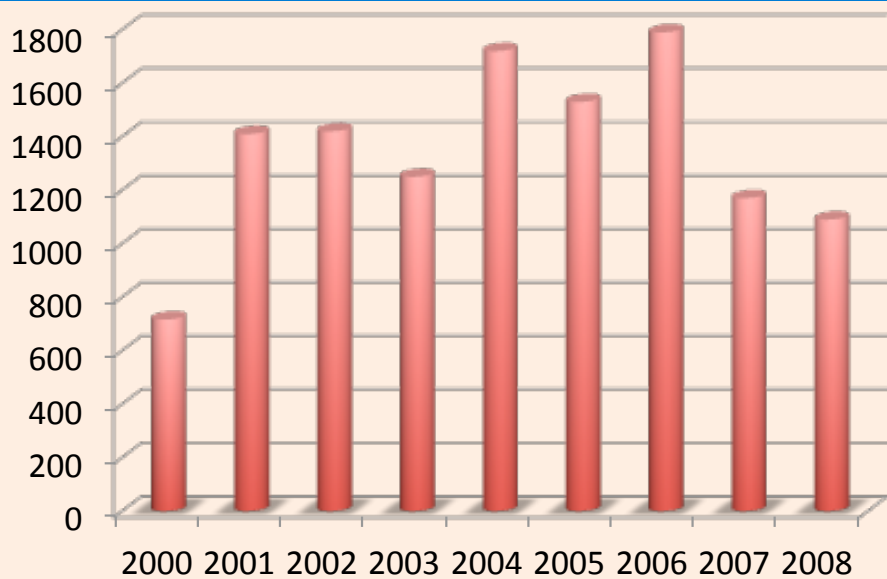
(published range 430-3000 Tg y<sup>-1</sup>)

(Tegen et al, 2002)





**Dust emitted mass (Tg yr-1)**



**Dust emitted mass - 2008 (Tg yr-1)**

